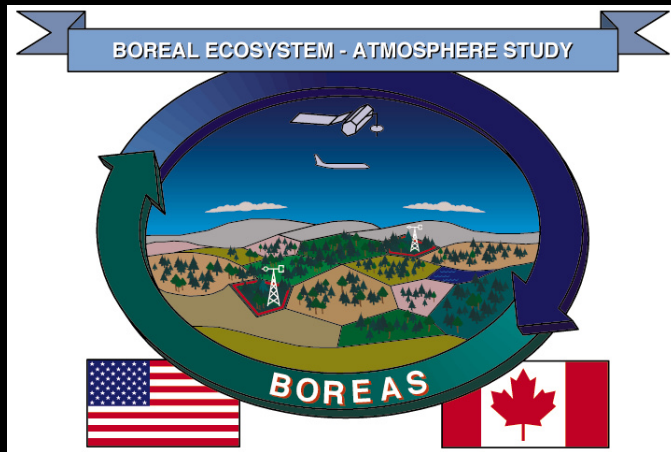


BOREAS Radar Observations and Science

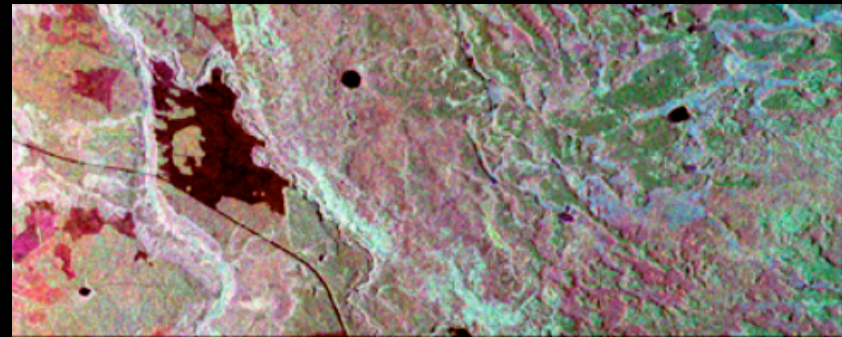
Sassan Saatchi
Jet Propulsion Laboratory
California Institute of Technology
Pasadena, CA, USA

Polarizations: **HH**, **HV**, **VV**

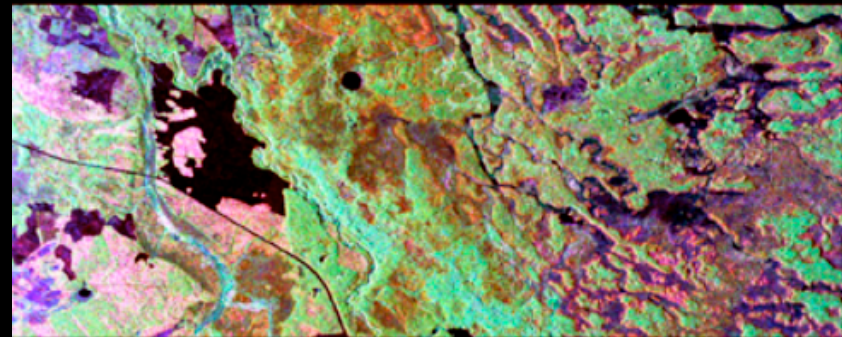


How FIFE and BOREAS
Changed the World

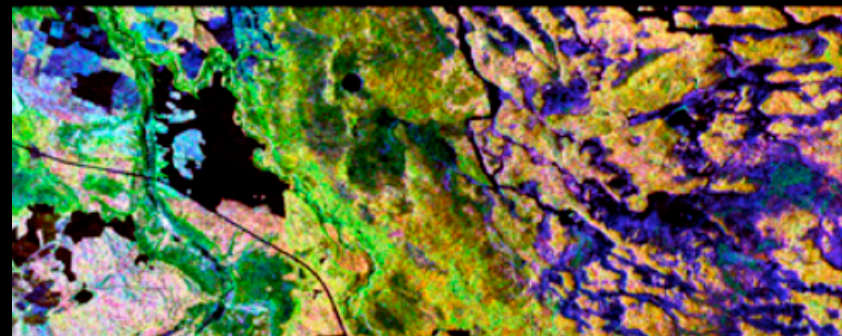
Oct 6-7, 2016
NASA/GSFC



C-band
6 cm



L-band
24 cm



P-band
70 cm



Outline

Overall Science Objectives and Projects

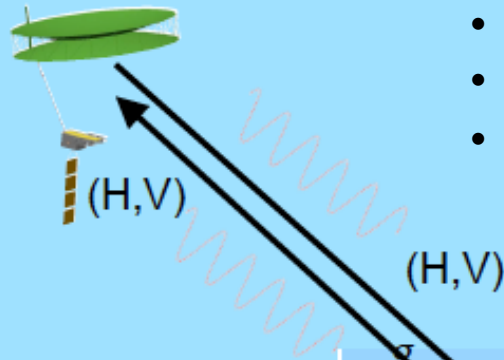
Forest Structure and Aboveground Biomass Density

Soil Moisture and Canopy Water Content

Monitoring Freeze/thaw Cycles

Overall Science Objectives and Projects

- Vegetation Structure and Biomass
- Vegetation & Soil Water Content
- Phenology and freeze/thaw



Backscatter Measurements:

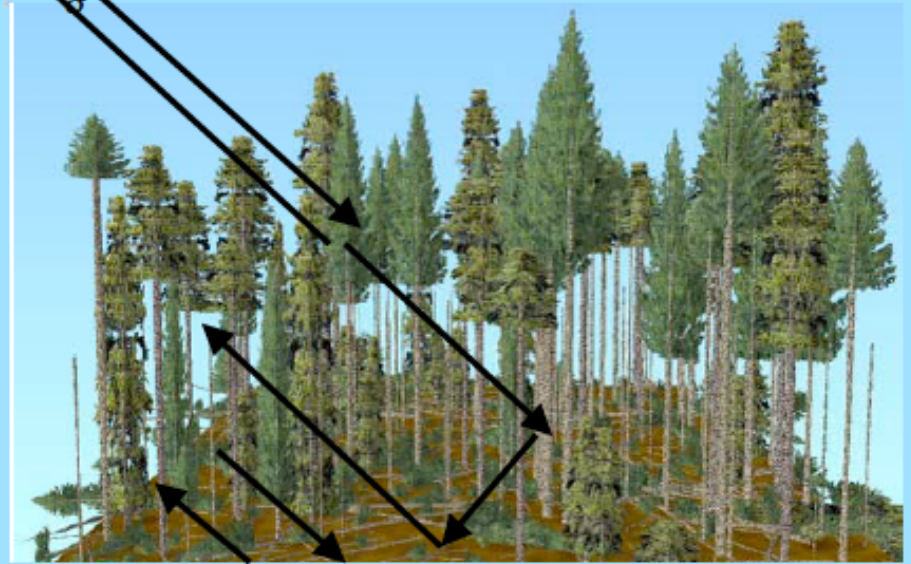
$$\sigma_{(H,V)} = \sigma_{crown} + \sigma_{stem} + \sigma_{ground}$$

$$\sigma_{(H,V)} = f(vol, W_d, \Omega)$$

vol : forest volume (size)

W_d : wood density (dielectric constant)

Ω : shape and orientation of components



Measurement Variables: Frequency, Angle, **Polarization**

high spatial resolution, seasonal to annual revisit time, all time capability

BOREAS studies

RSS-13: Helicopter-Based Measurements of Microwave Scattering Over the Boreal Forest

P.I.(s): S. Prasad Gogineni -- University of Kansas

GSRP Student: G. Lance Lockhart -- University of Kansas

RSS-15: Distribution and Structure of Above Ground Biomass in Boreal Forest Ecosystems

P.I.(s): K. Jon Ranson -- NASA/Goddard Space Flight Center; Roger Lang-- George Washington University

Co-I(s): Guoqing Sun -- SSAI; Narinder Chauhan -- GWU

RSS-16: Estimation of Hydrological Parameters in Boreal Forest Using SAR Data:

P.I.(s): Sassan S. Saatchi--NASA/Jet Propulsion Laboratory

Co-I(s): Jacob van Zyl, Mahta Mogaddam -- NASA/JPL; Ted Engman -- NASA/GSFC

RSS-17: Monitoring Environmental and Phenologic State and Duration of State with SAR as Input to Improved CO₂ Flux Models

P.I.(s): JoBea Way -- NASA/Jet Propulsion Laboratory

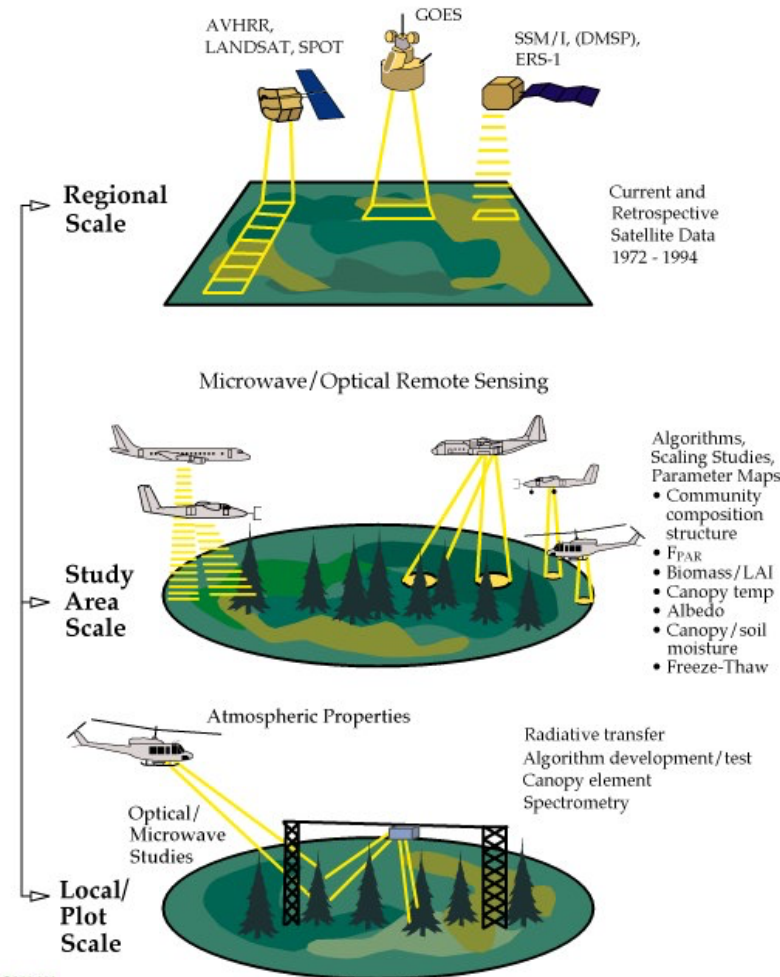
Co-I(s): Eric Rignot, Reiner Zimmermann -- NASA/JPL; Gordon Bonan -- NCAR

TE-16: Land Cover and Primary Productivity in the Boreal Forest

P.I.(s): Josef Cihlar -- Canada Center for Remote Sensing (CCRS)

Co-I(s): Zhanqing Li, Jing M. Chen -- CCRS; Raymond Desjardins -- Agriculture Canada

REMOTE SENSING SCIENCE



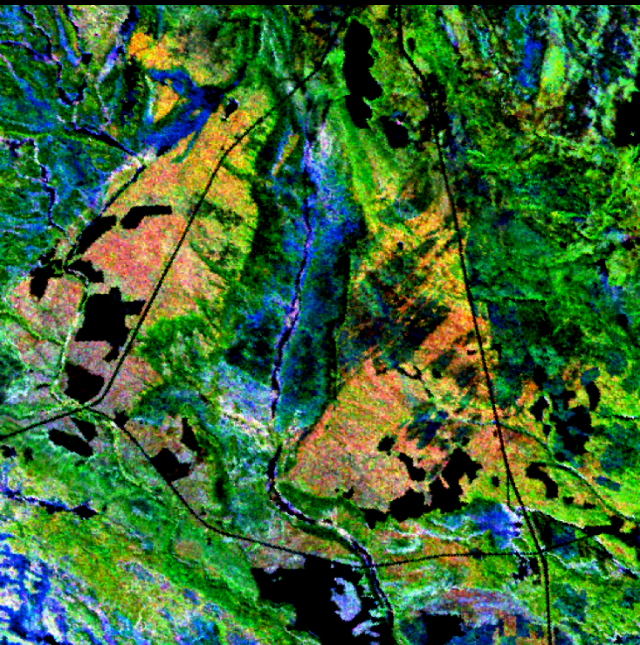


AIRSAR Team Before Deployment to BOREAS 1993

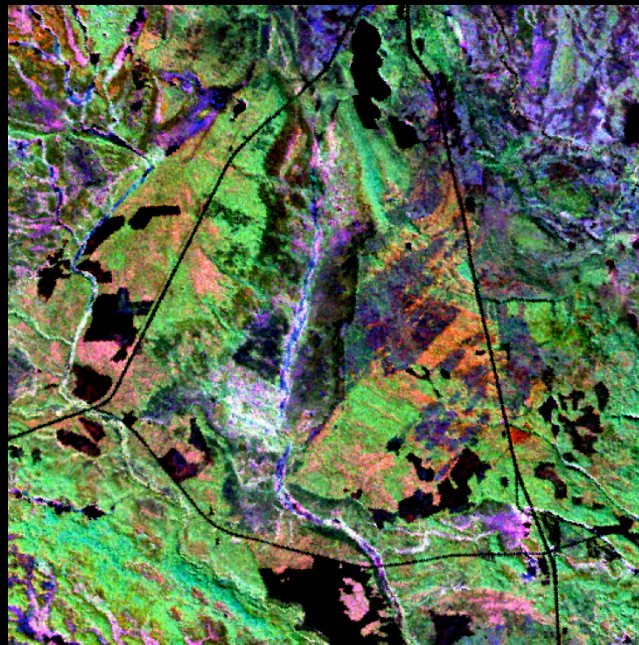




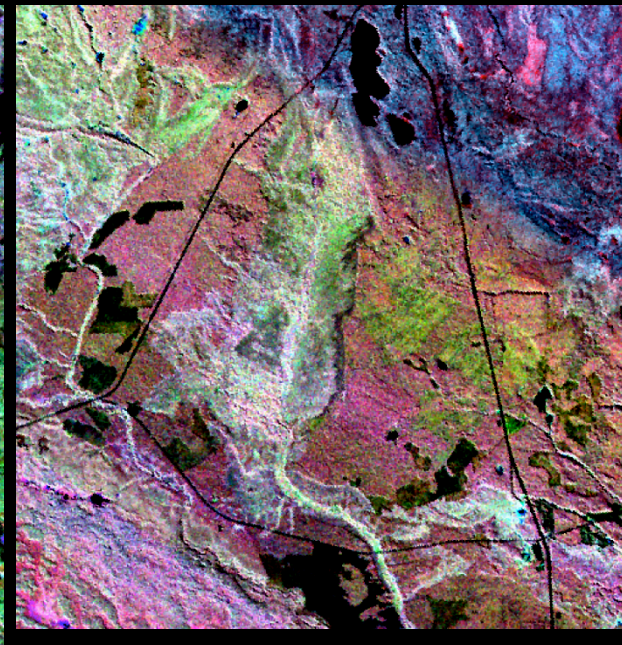
Impacts of Structure and Moisture on Radar Measurements



P-band (HH,HV,VV)
P-band
70 cm



L-band(HH,HV,VV)
L-band
24 cm

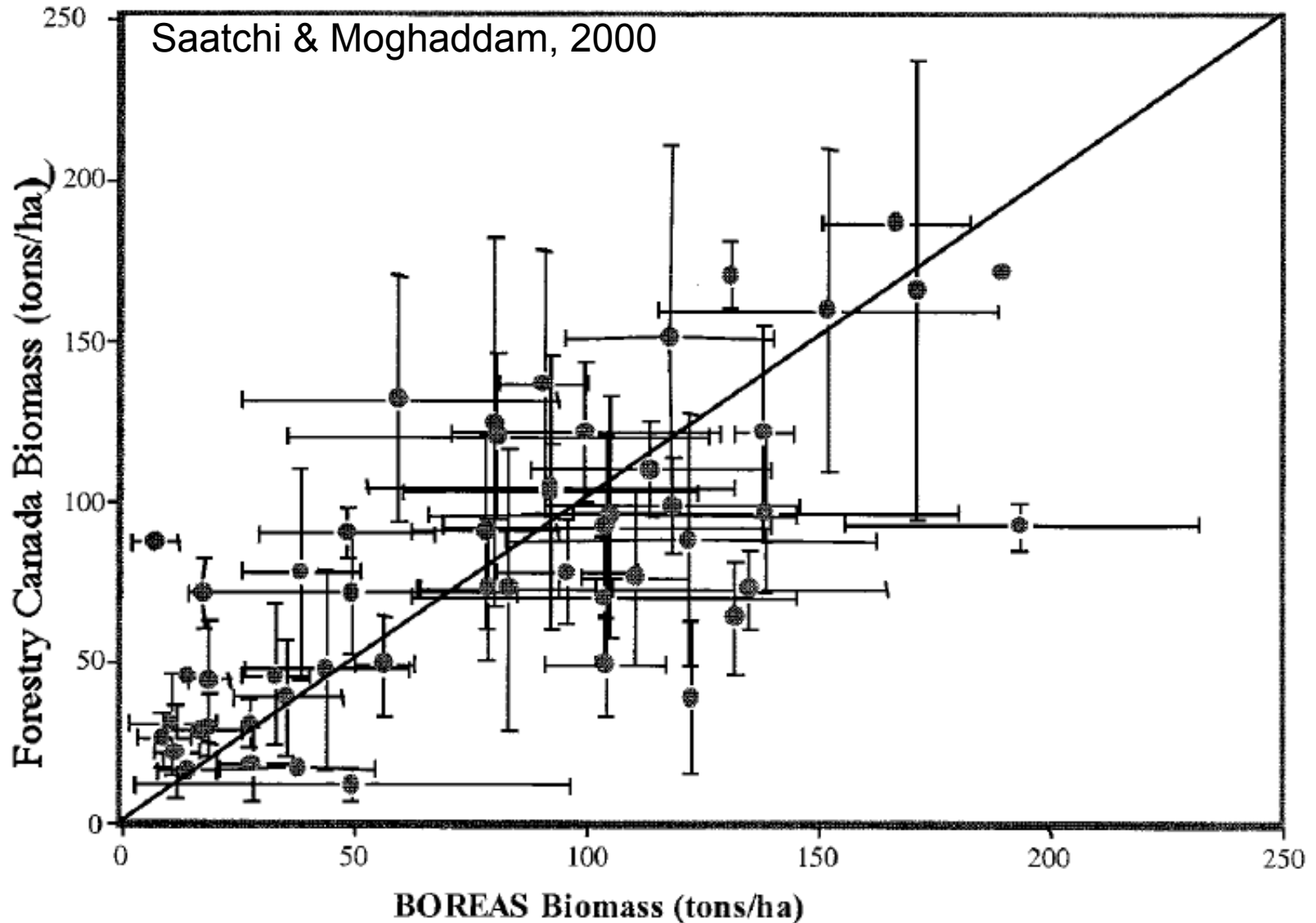


C-band (HH,HV,VV)
C-band
6 cm

Forest Structure & Biomass



Forest Structure & Biomass



Ecosystem Biomass Algorithm

Theoretical Basis

- L-band backscatter sensitivity to aboveground forest biomass have been studied over the past 30 years
- L-band radar backscatter is dominated by three scattering terms: volume, volume-surface, and surface
- L-band HV backscatter has strong sensitivity to AGB up to ~ 100 Mg/ha with low to moderate impacts of soil moisture compared to co-polarization channels.
- The algorithm is based on a physically based EM model with reduced parameters to include biomass, soil moisture, and roughness in a semi-empirical formulation.

$$\sigma^0 = \sigma_{vol}^0 + \Gamma_{surf} \sigma_{vol_surf}^0 + \Gamma_{vol} \sigma_{surf}^0$$

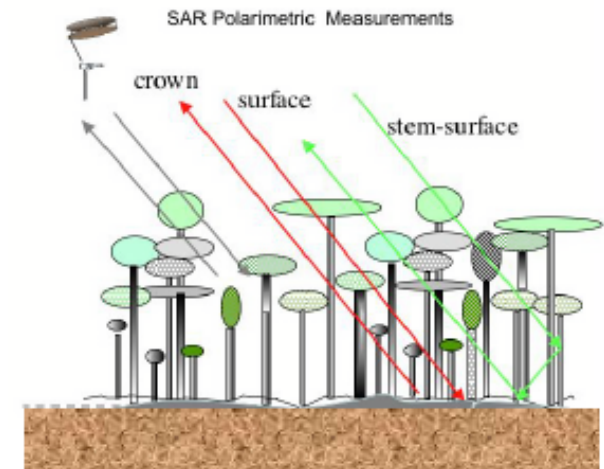
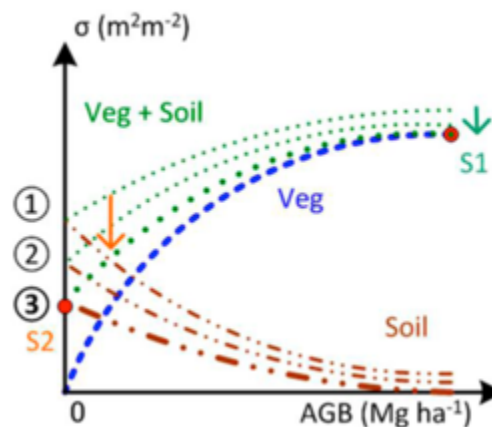
σ_{vol}^0 : vegetation

$\sigma_{vol_surf}^0$: vegetation

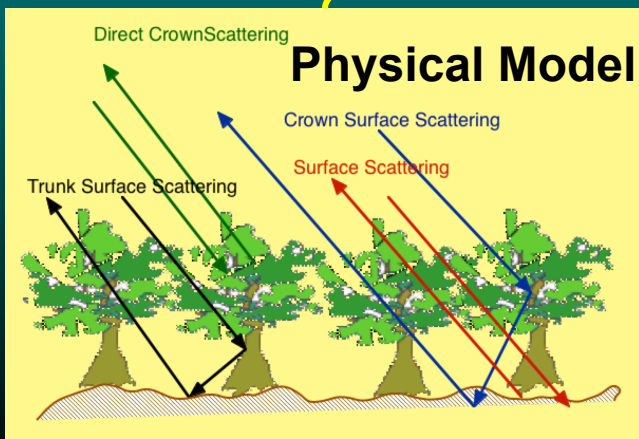
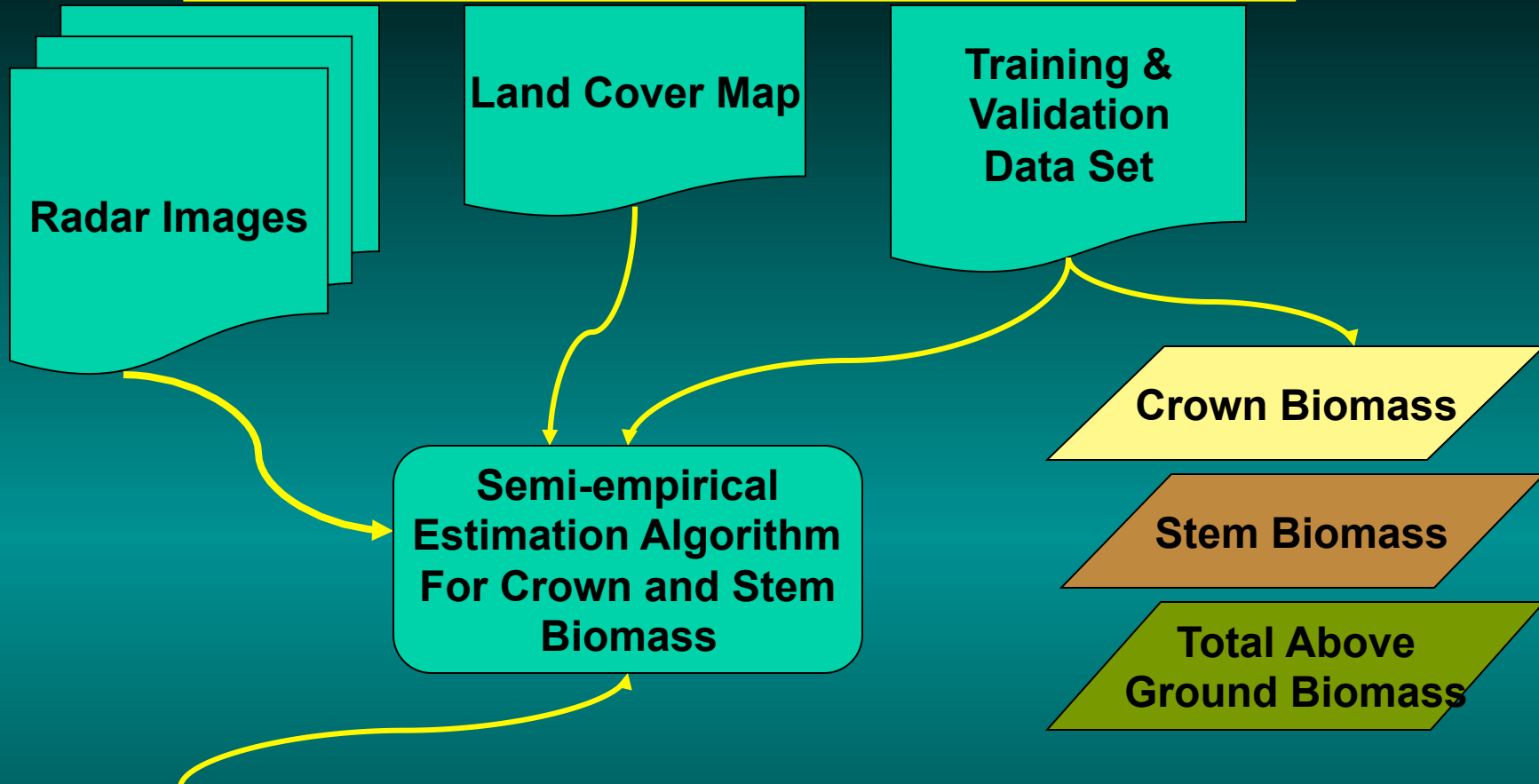
σ_{surf}^0 : soil

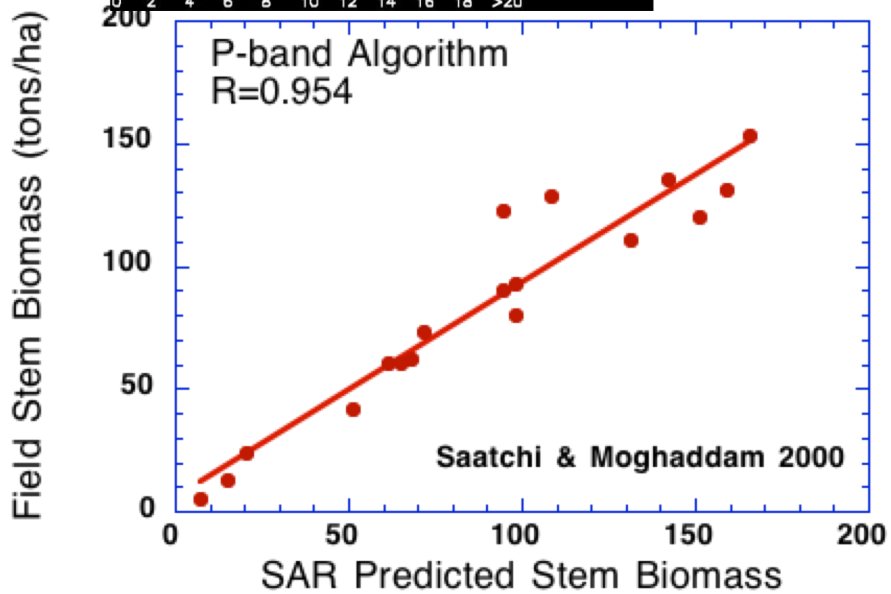
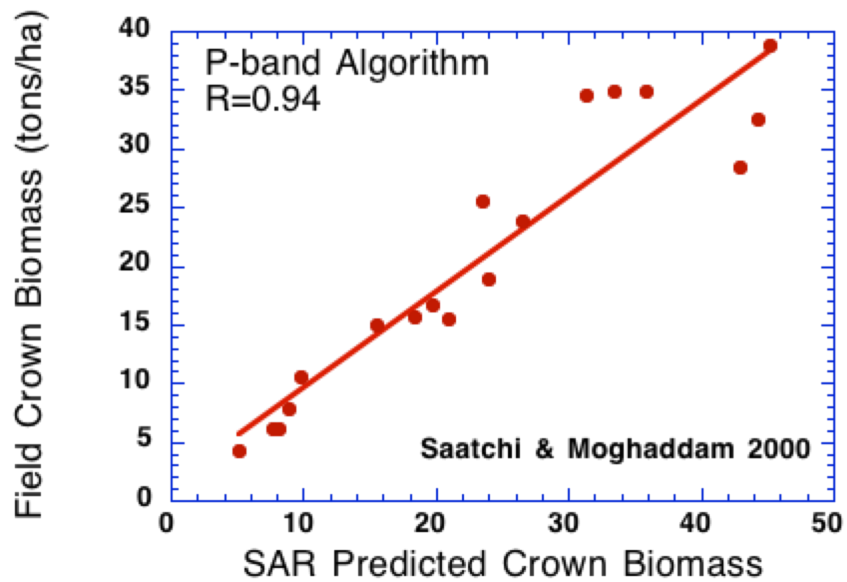
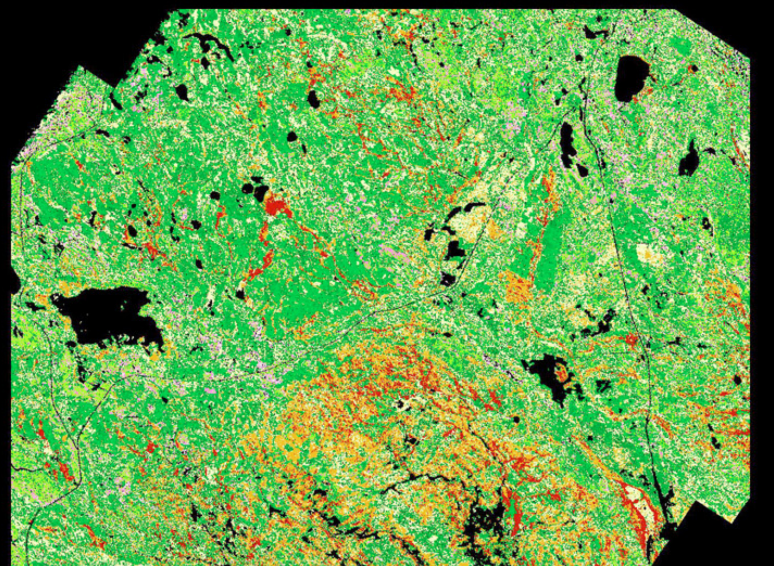
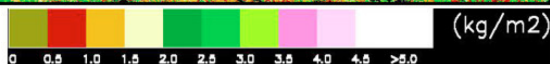
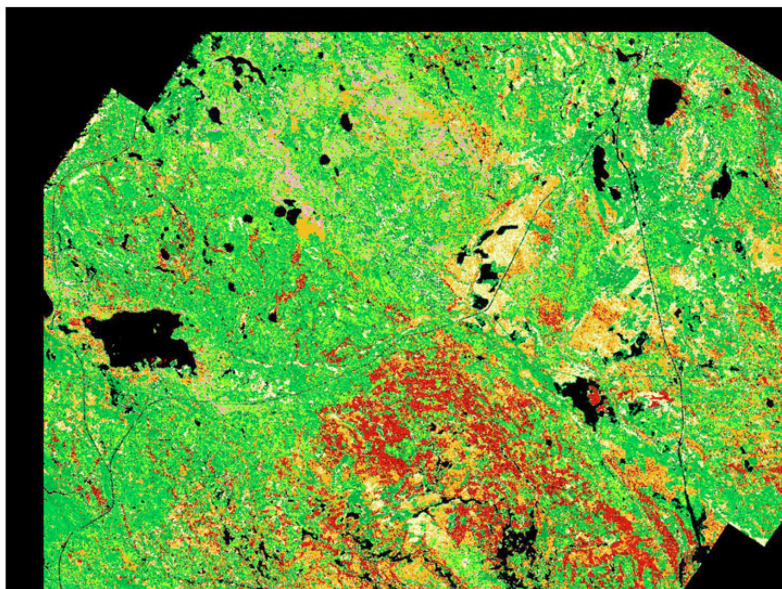
$\Gamma_{surf} = |R|^2 \exp(-k^2 s^2 \cos^2 \theta)$: soil

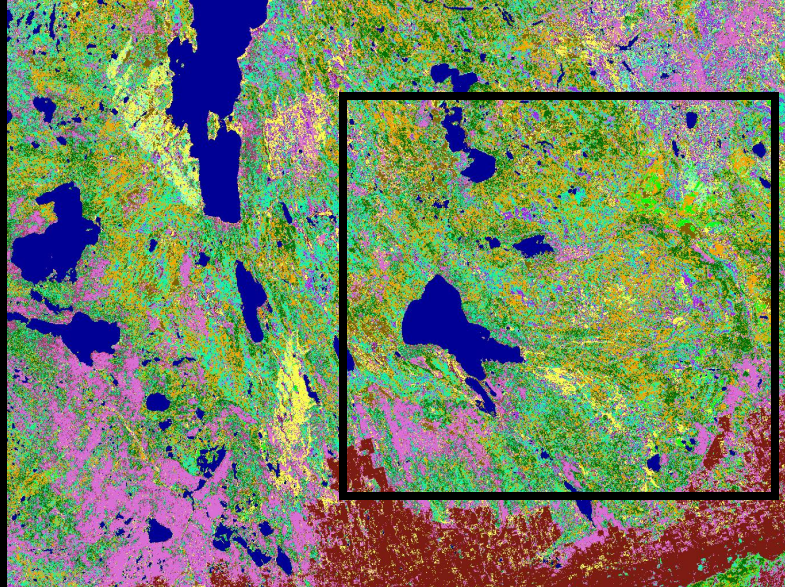
$\Gamma_{vol} = \exp(-2\alpha)$: vegetation



Biomass Estimation Methodology

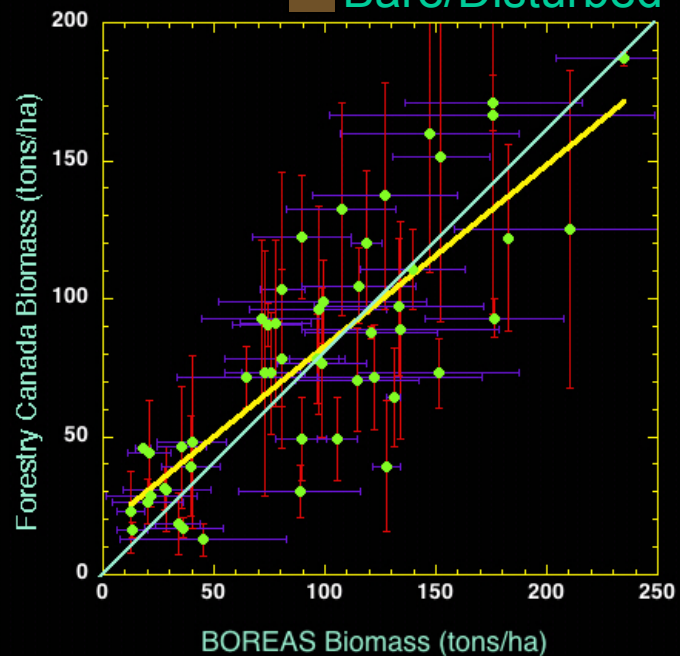
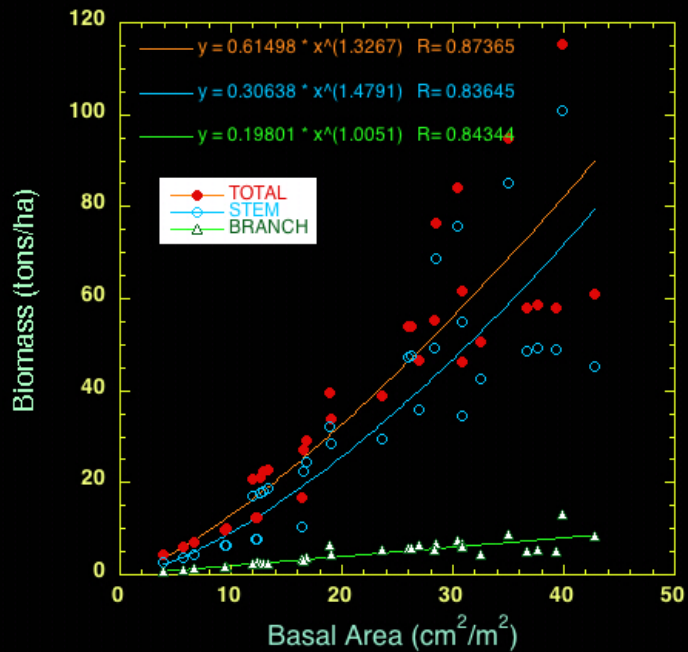




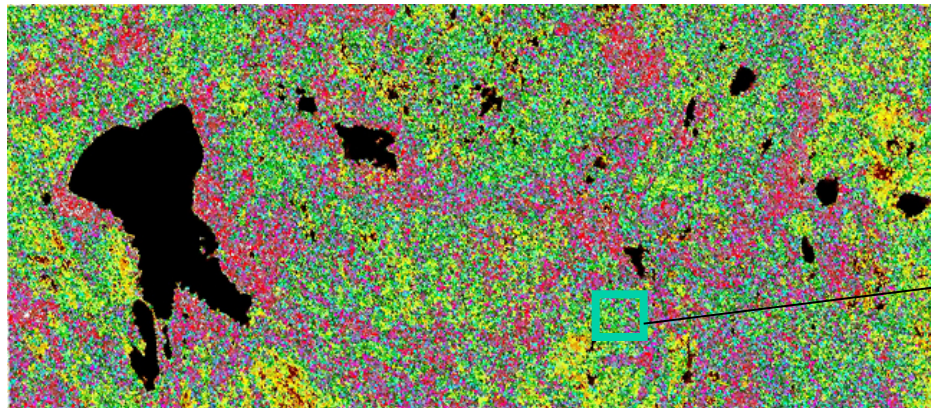


- Jack Pine (JP)
- Black Spruce (BS)
- JP & BS
- Mixed Conifer
- Aspen
- Mixed Deciduous
- Mixed Conif/Decid
- Treed Muskeg
- Fen/Muskeg
- Burned Low Veg.
- Cropland
- Bare/Disturbed

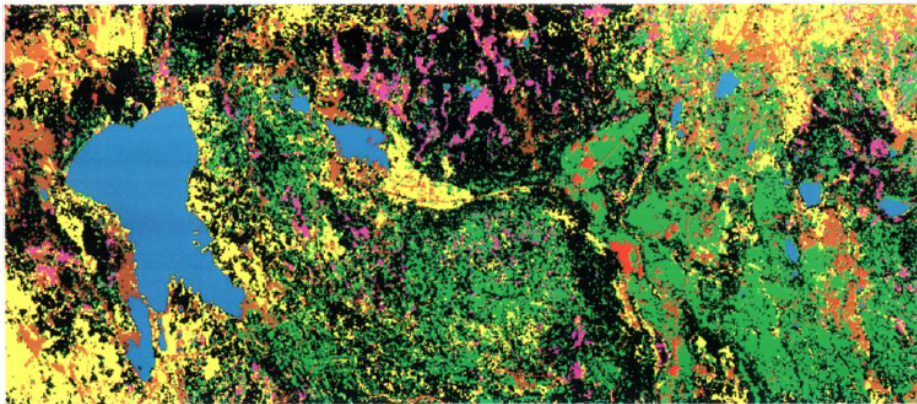
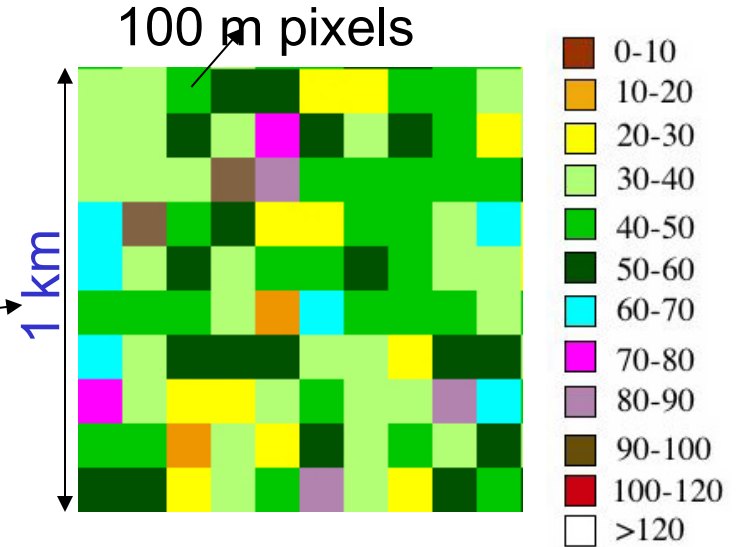
Boreal Forest Biomass Measurements



L-band Polarimetric Derived Biomass SIR-C Observations from Shuttle Mission 1994

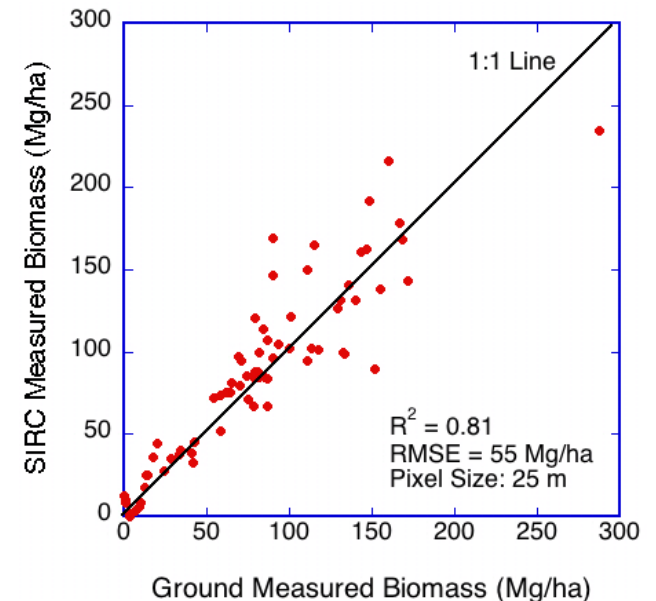


SIR-C Derived Biomass Map
(Ranson et al. 1997)

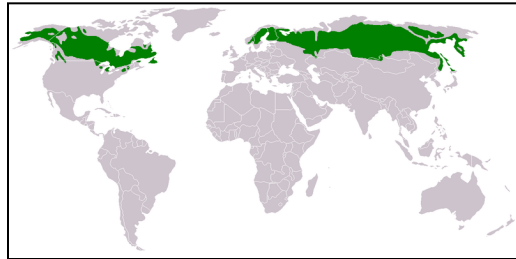
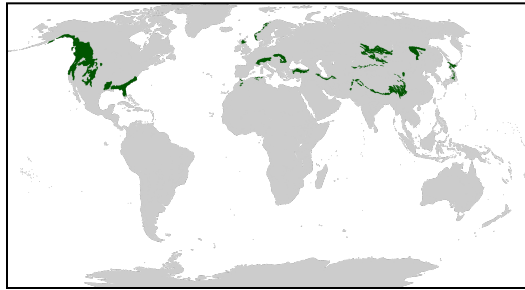


Pine Spruce Aspen Shrubs Clearing Fen Water

SIR-C Derived Land Cover Types
(Ranson et al. 1995)

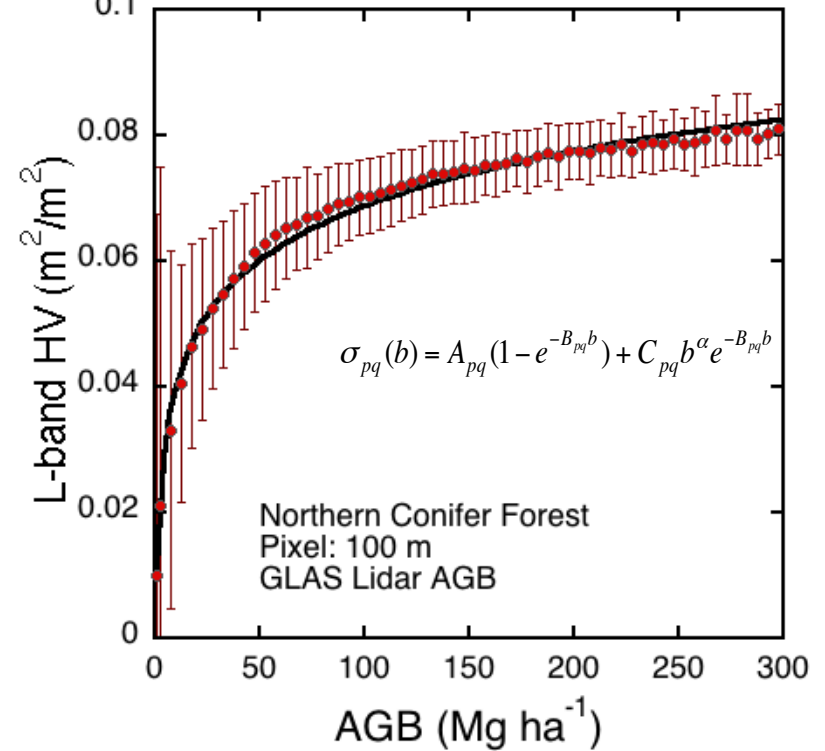
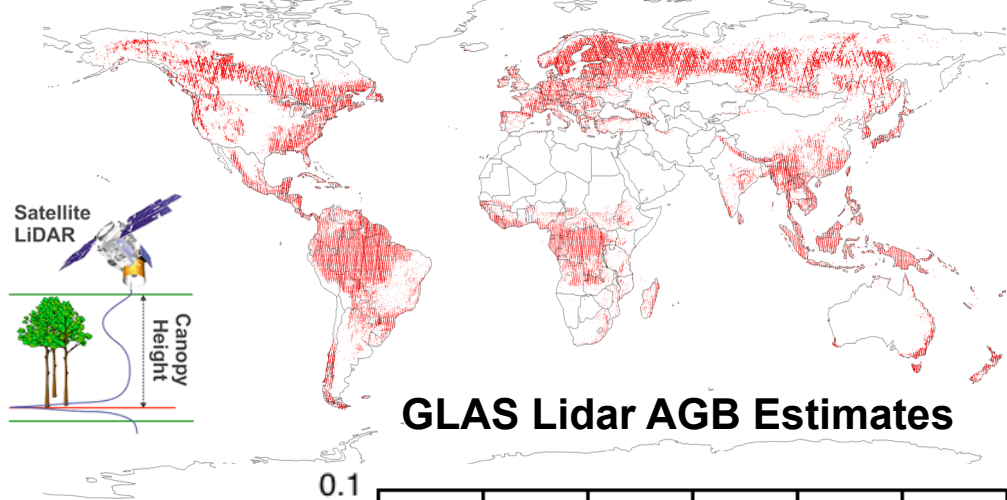


L-band Radar Sensitivity to Biomass



Geographic Distribution of Northern Conifers

NISAR L-band HV Backscatter Measurements Capture Spatial Variations of Biomass in boreal forests

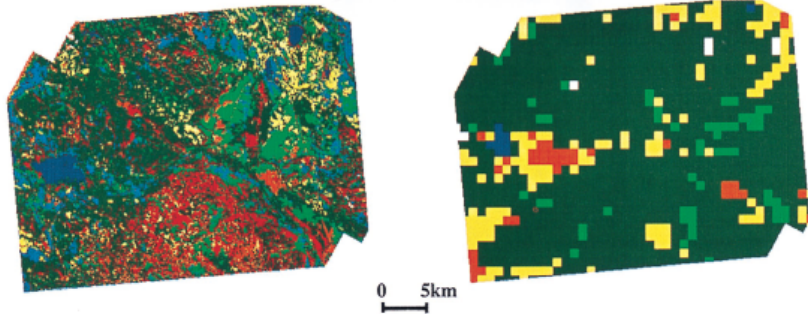


Sensitivity of boreal forest regional water flux and net primary production simulations to sub-grid-scale land cover complexity

Kimball et al. 1999

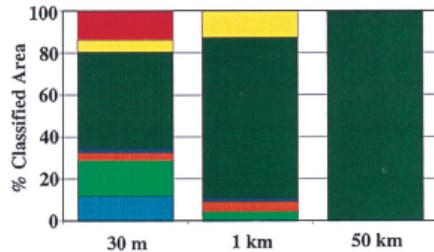
AIRSAR Landcover Map

AVHRR Landcover Map

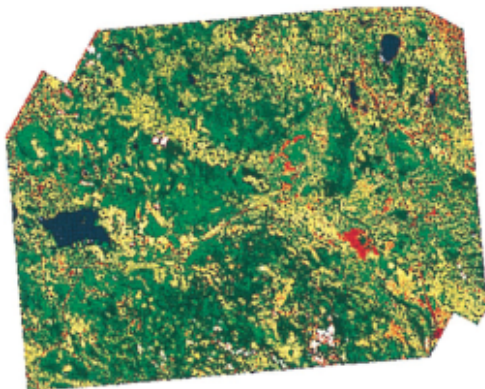


0 5km

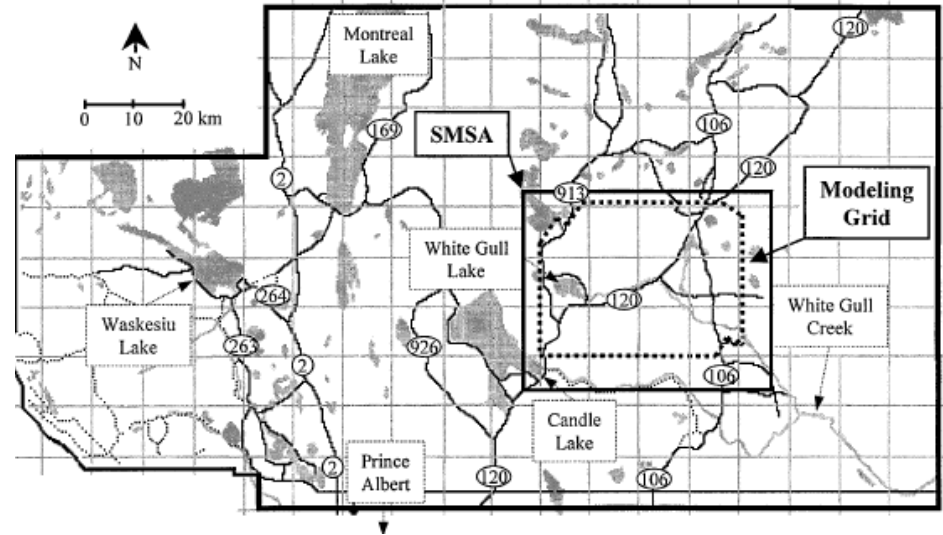
WC DC DSTRB OW MX DEC WD UNKNOWN



Net Primary Production
(Mg ha⁻¹ yr⁻¹)



Integration of AIRSAR Derived Land Cover Map and Biomass in BIOME-BGC



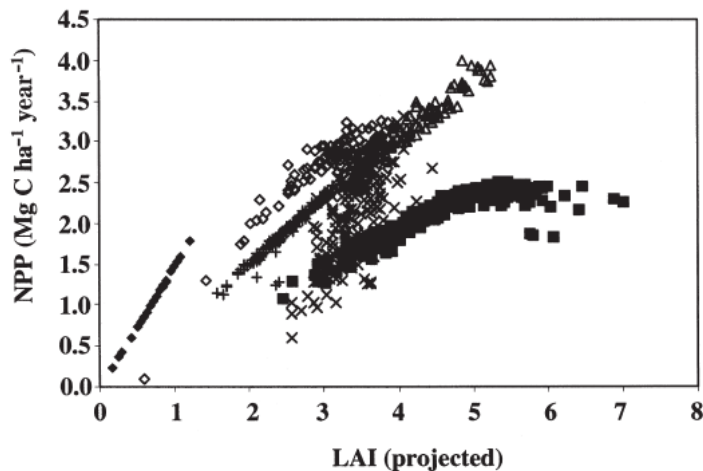
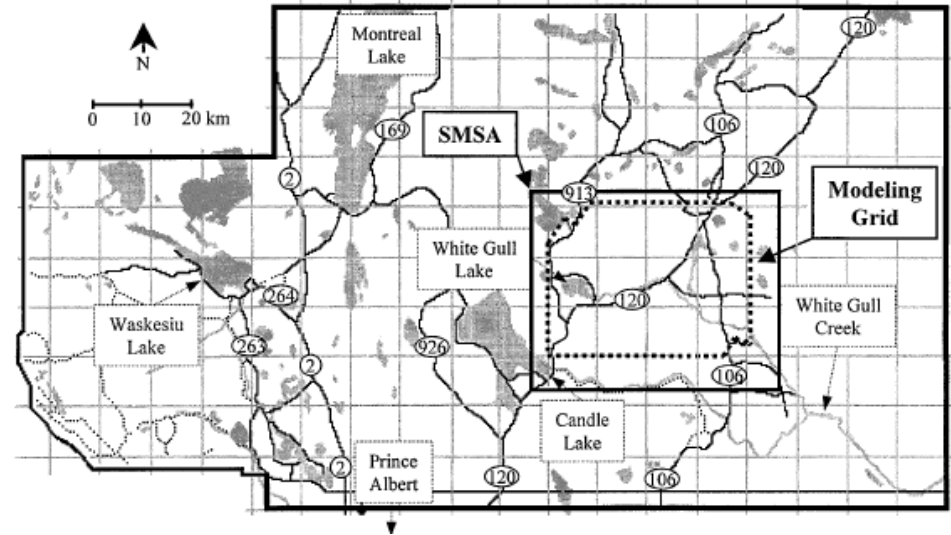
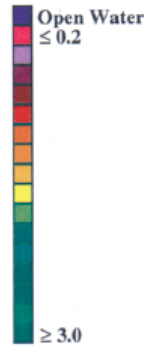
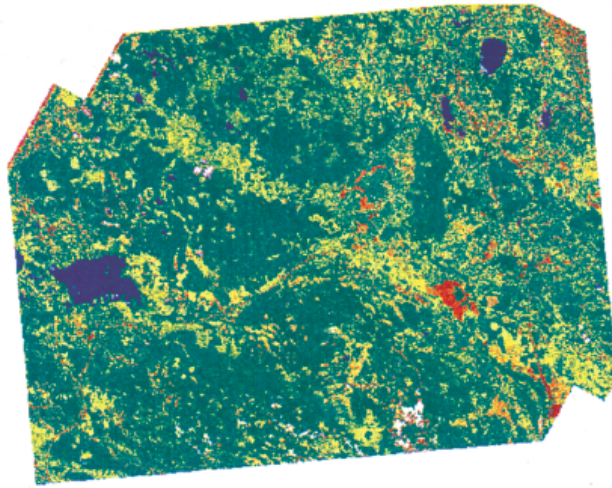
1. NPP and ET fluxes were spatially complex.
2. Biomass and LAI heterogeneity controlled the flux variability
3. Spatial aggregation caused a factor 2 bias in mean monthly NPP and a factor of 7 in annual estimation of uncertainty!

Regional assessment of boreal forest productivity using an ecological process model and remote sensing parameter maps

Kimball et al. 2000

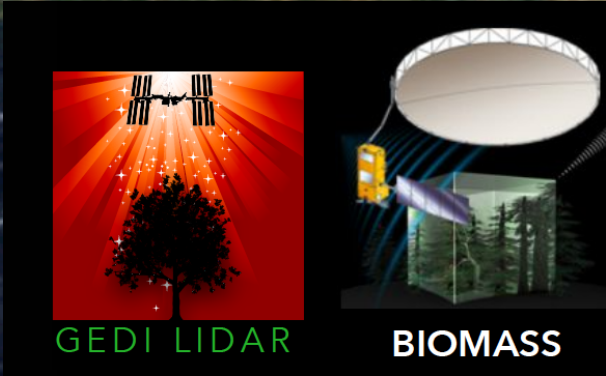
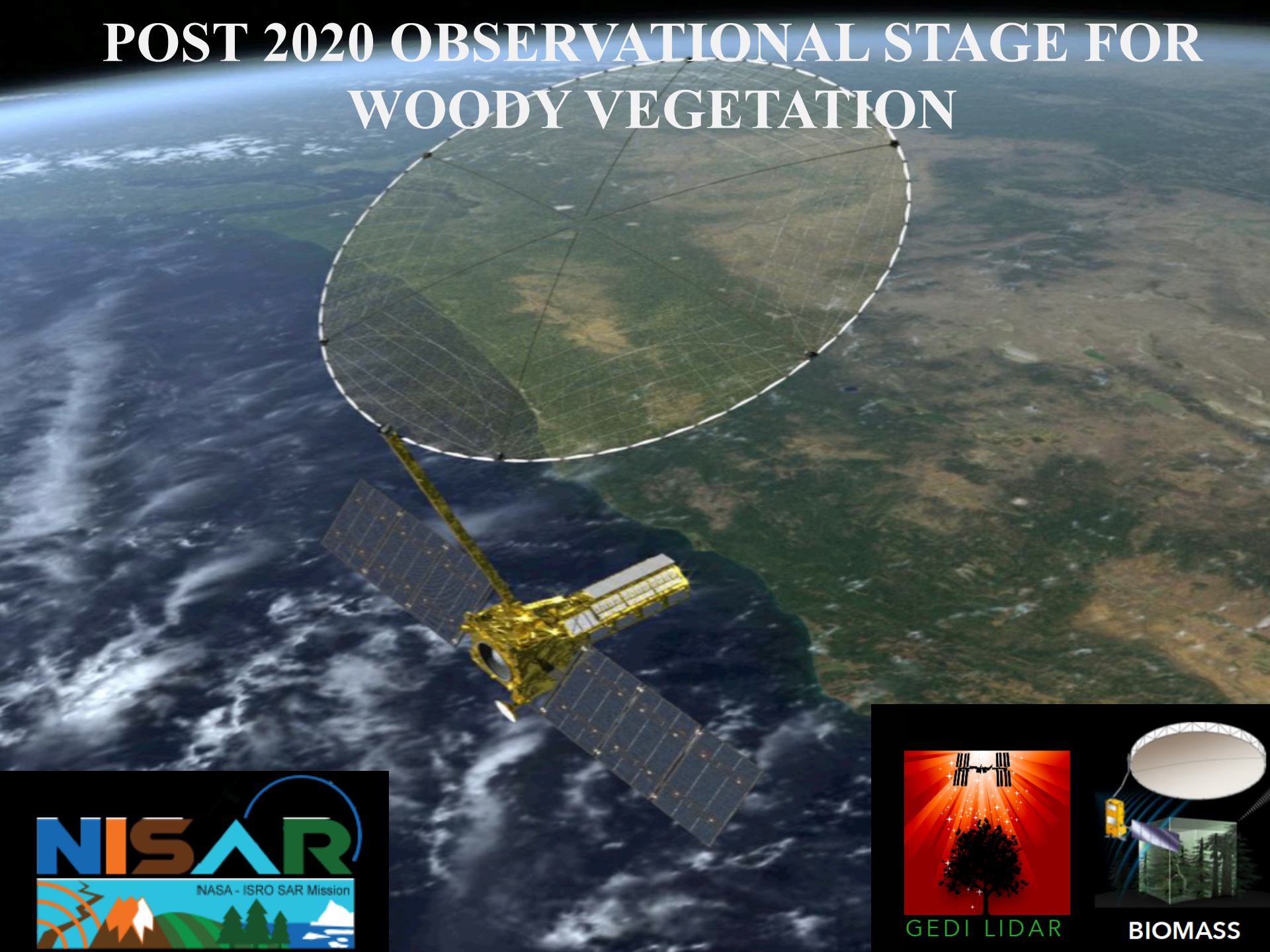
Integration of AIRSAR Derived Land Cover Map, Biomass, and LAI in BIOME-BGC

NPP ($\text{Mg C ha}^{-1} \text{ yr}^{-1}$)



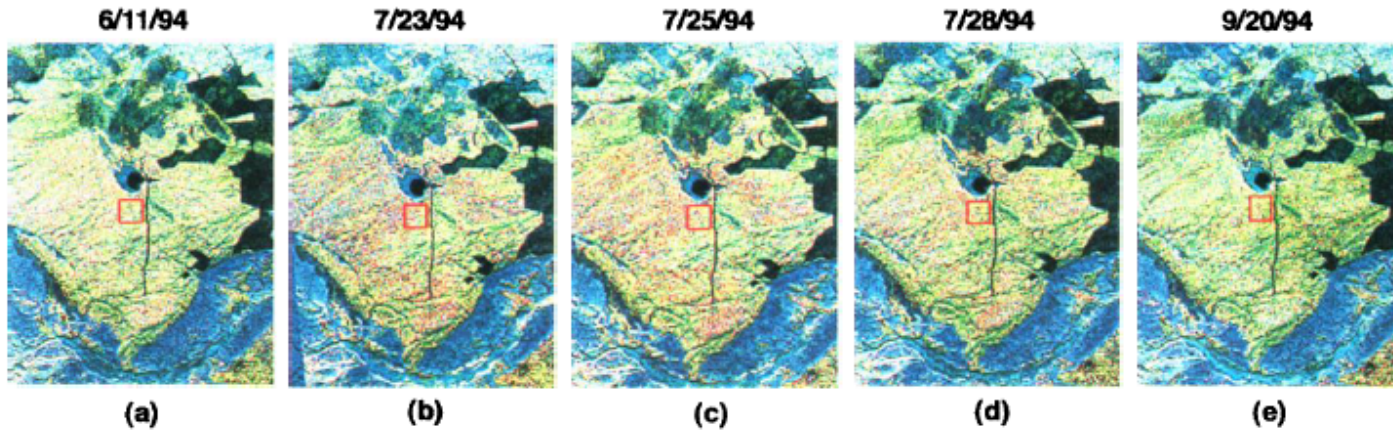
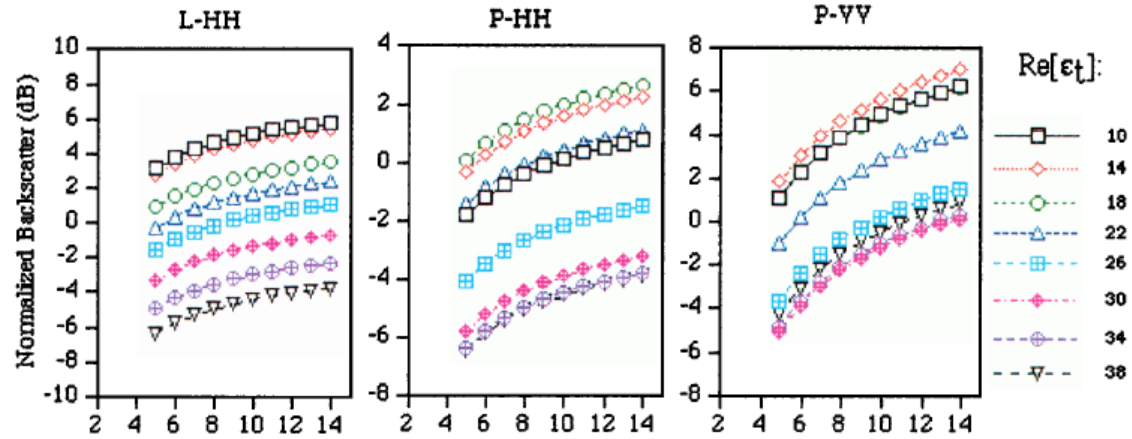
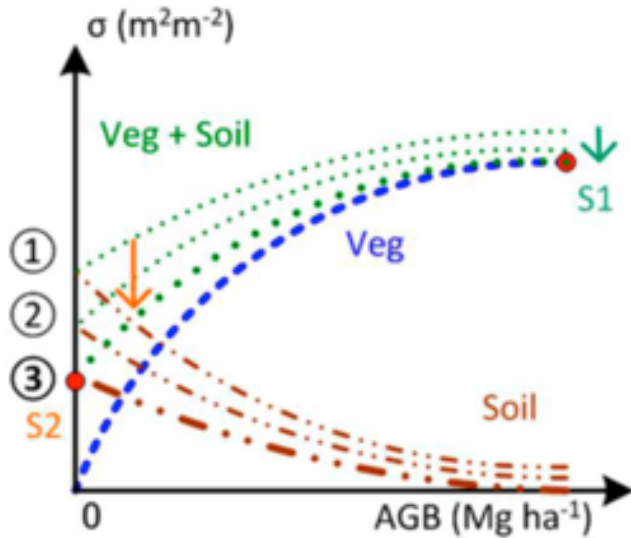
1. Spatial variability was controlled by biomass and LAI variations of fluxes
2. Biophysical differences of broadleaf and needle leaf traits had secondary effects on NPP
3. NPP varied year-to-year due to seasonal variations of climate and 17-22% reduction of NPP observed due to delays in spring thaw.

POST 2020 OBSERVATIONAL STAGE FOR WOODY VEGETATION



Sensitivity of Radar Measurements to Soil Moisture

Moghaddam, Saatchi, Cuenca, JGR, 2000



P-Band L-Band C-Band

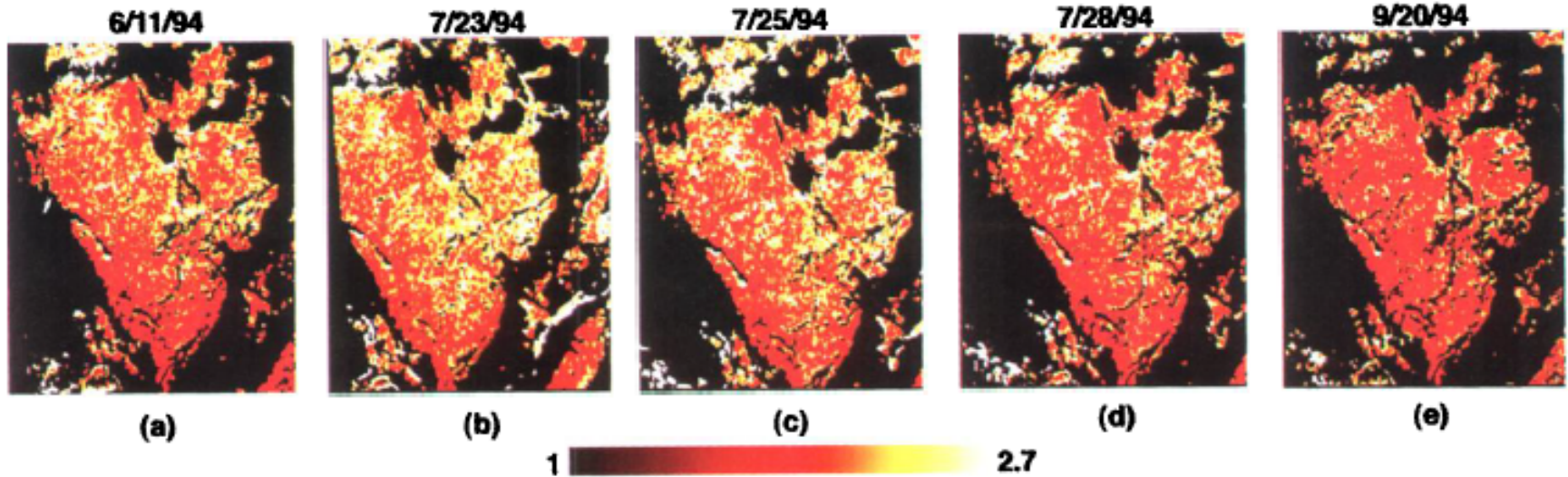
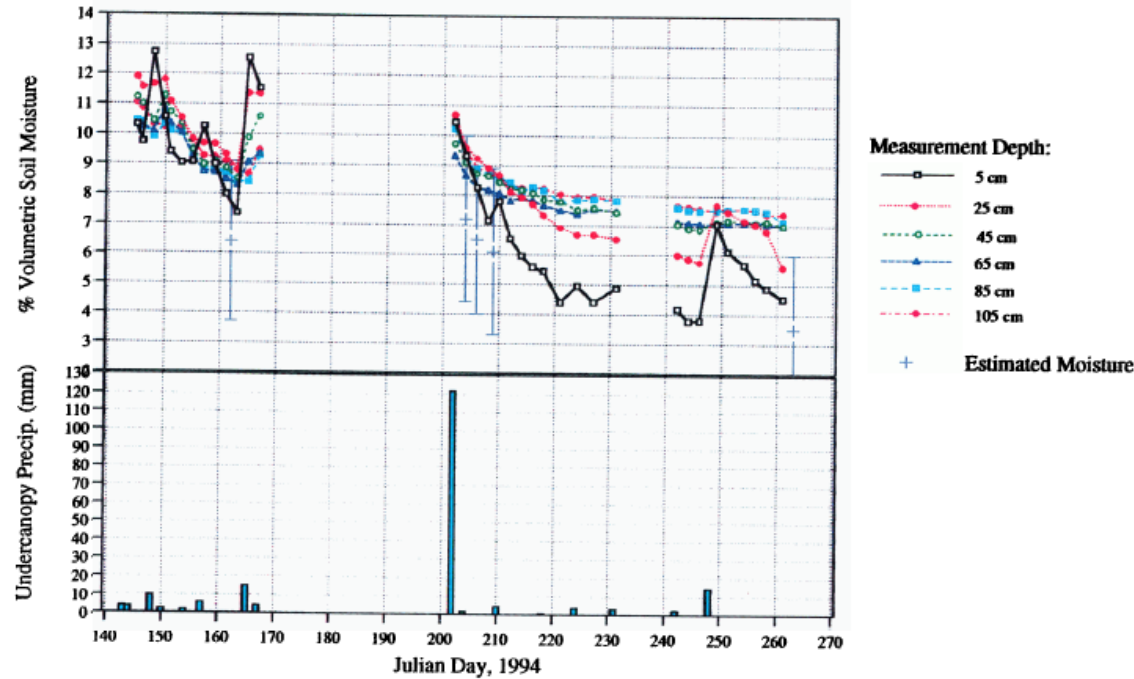
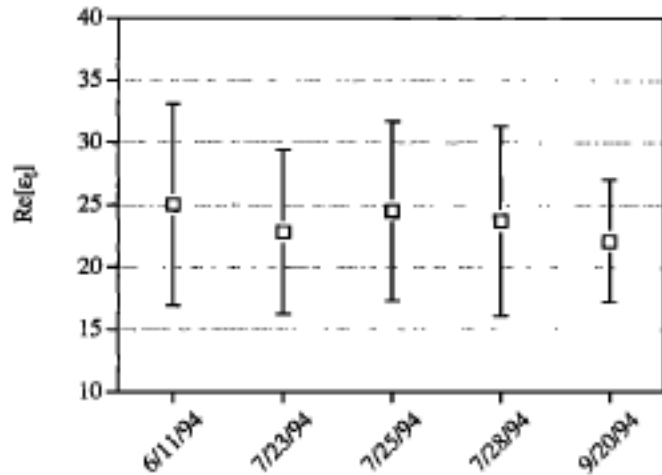
Estimating subcanopy soil moisture with radar

Mahta Moghaddam and Sasan Saatchi

Jet Propulsion Laboratory, California Institute of Technology, Pasadena

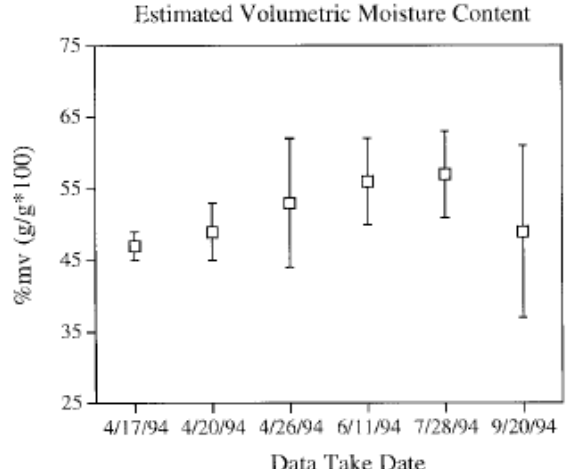
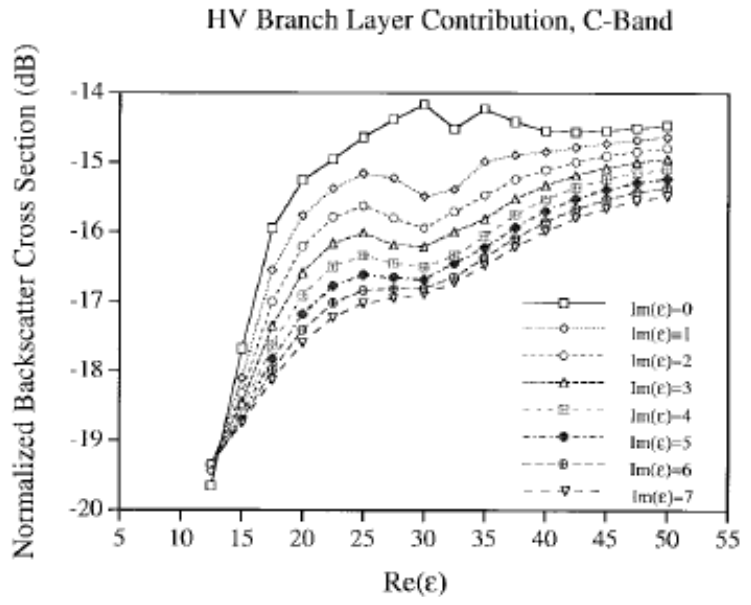
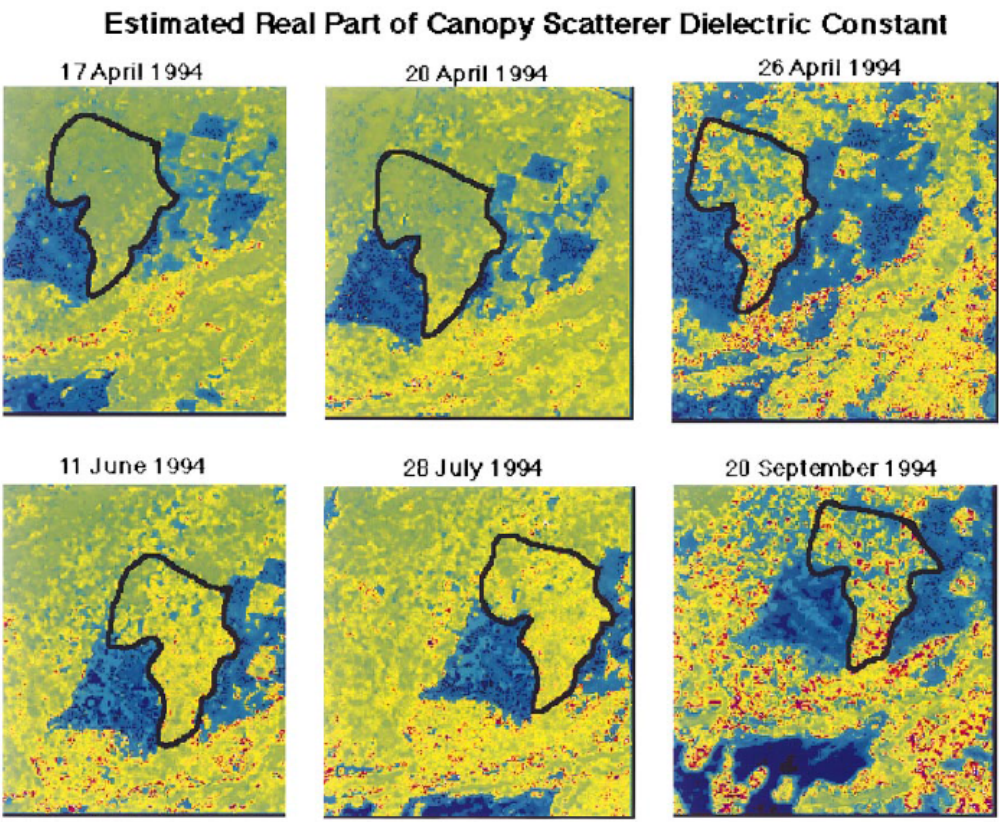
Richard H. Cuenca

Bioresource Engineering Department, Oregon State University, Corvallis

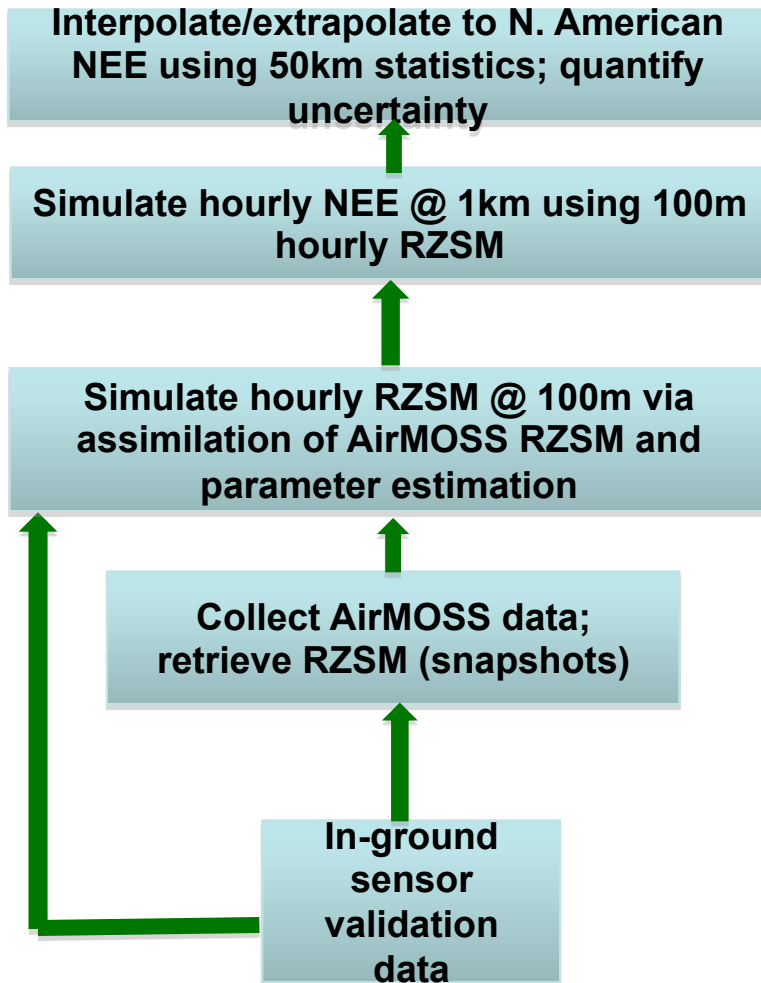


Monitoring Tree Moisture Using an Estimation Algorithm Applied to SAR Data from BOREAS

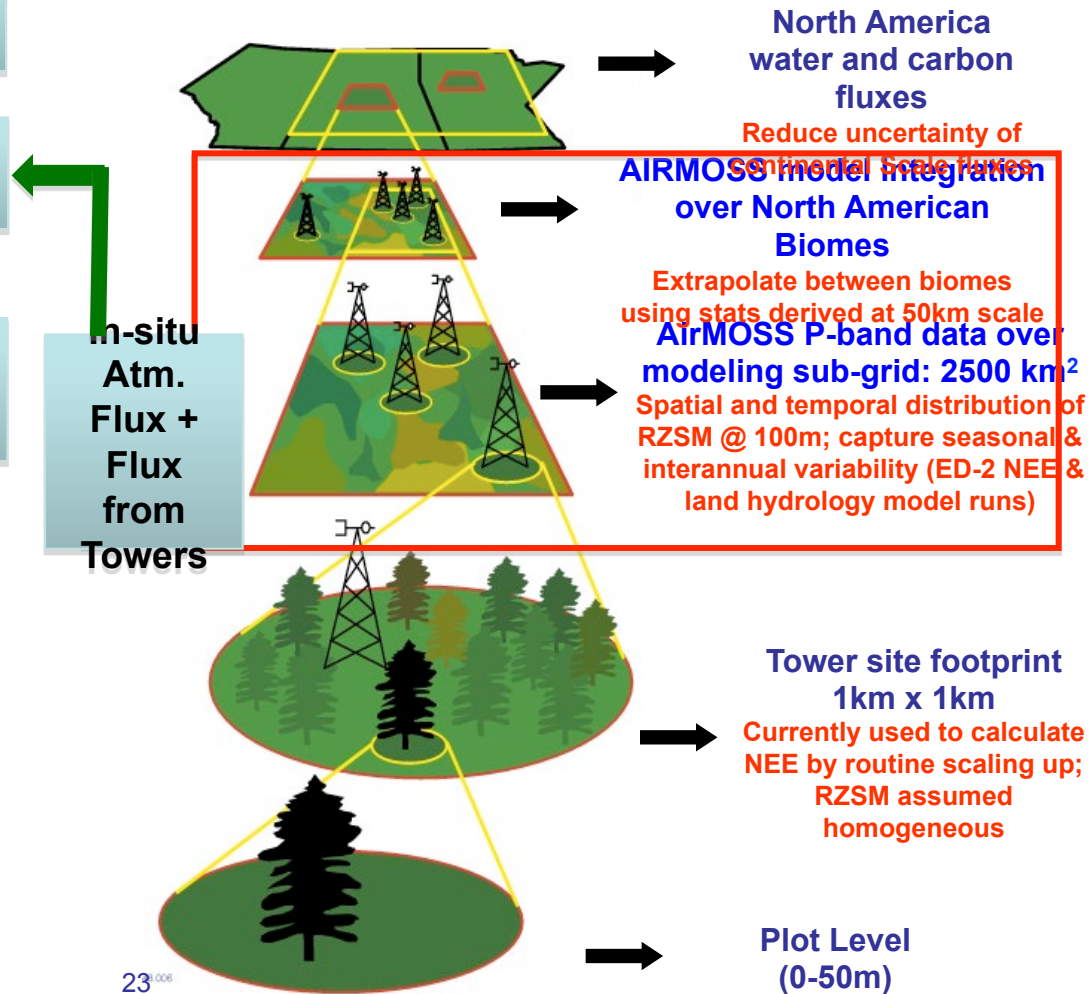
Mahta Moghaddam, *Member, IEEE*, and Sasan S. Saatchi, *Member, IEEE*



AIRMOSS Earth Venture Mission

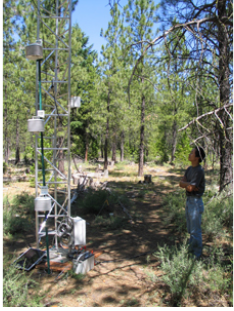


Bottom-up scaling



North American Biomes to Cover

Biome IV



Biome I



Biome II

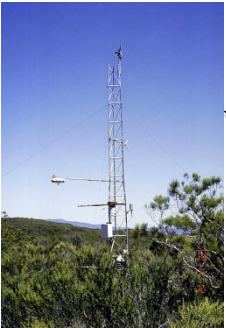
Biome III



Biome IX



Biome VI



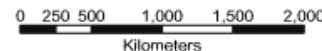
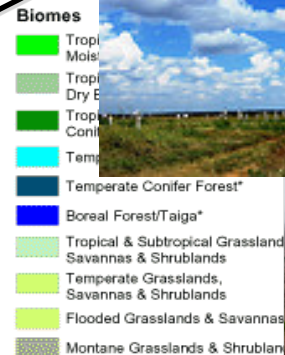
Biome V



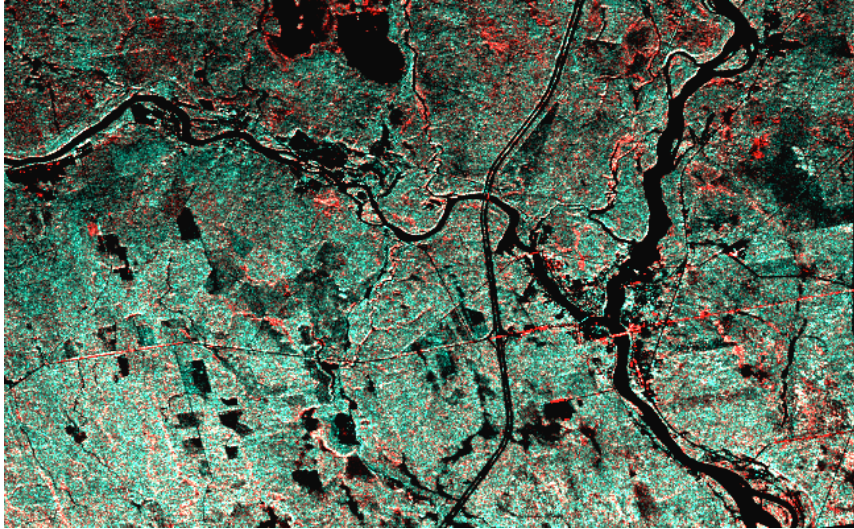
Biome VIII



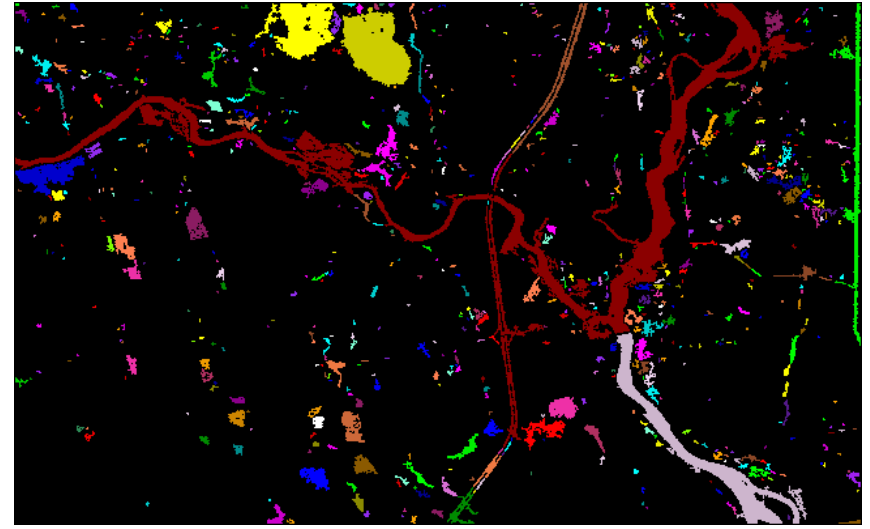
Biome VII



Detection of Areas of Low/Non-vegetated

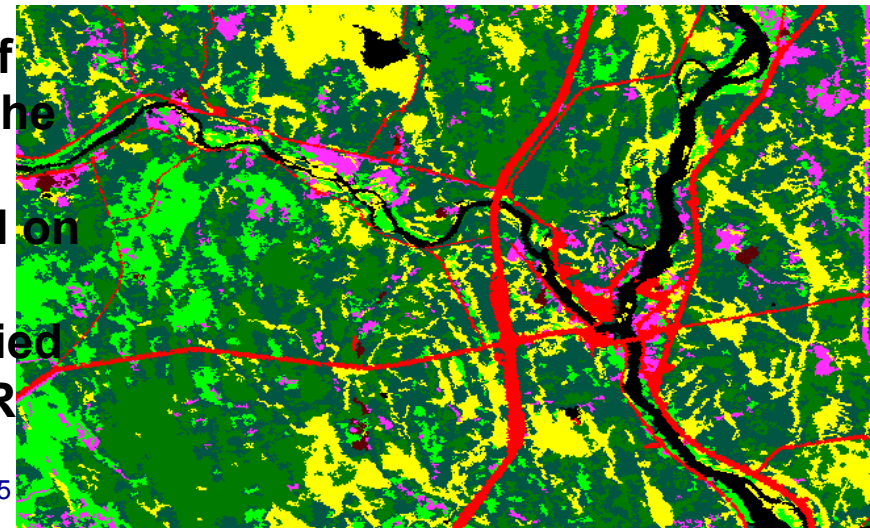


PHH, PHV, PVV



SAR Segmentation

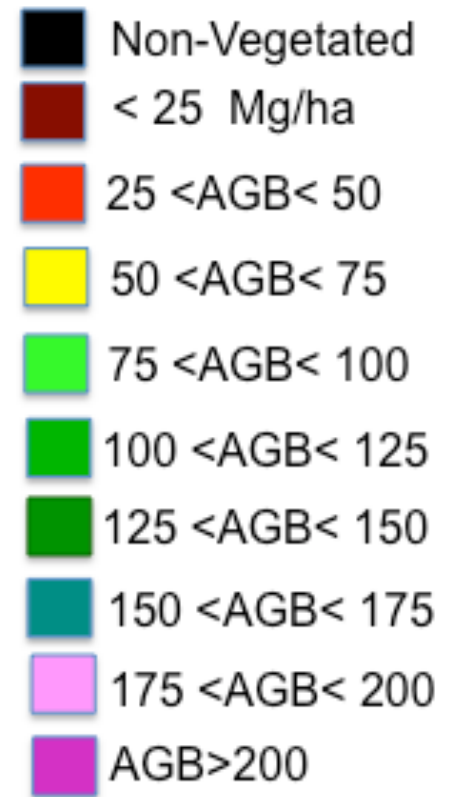
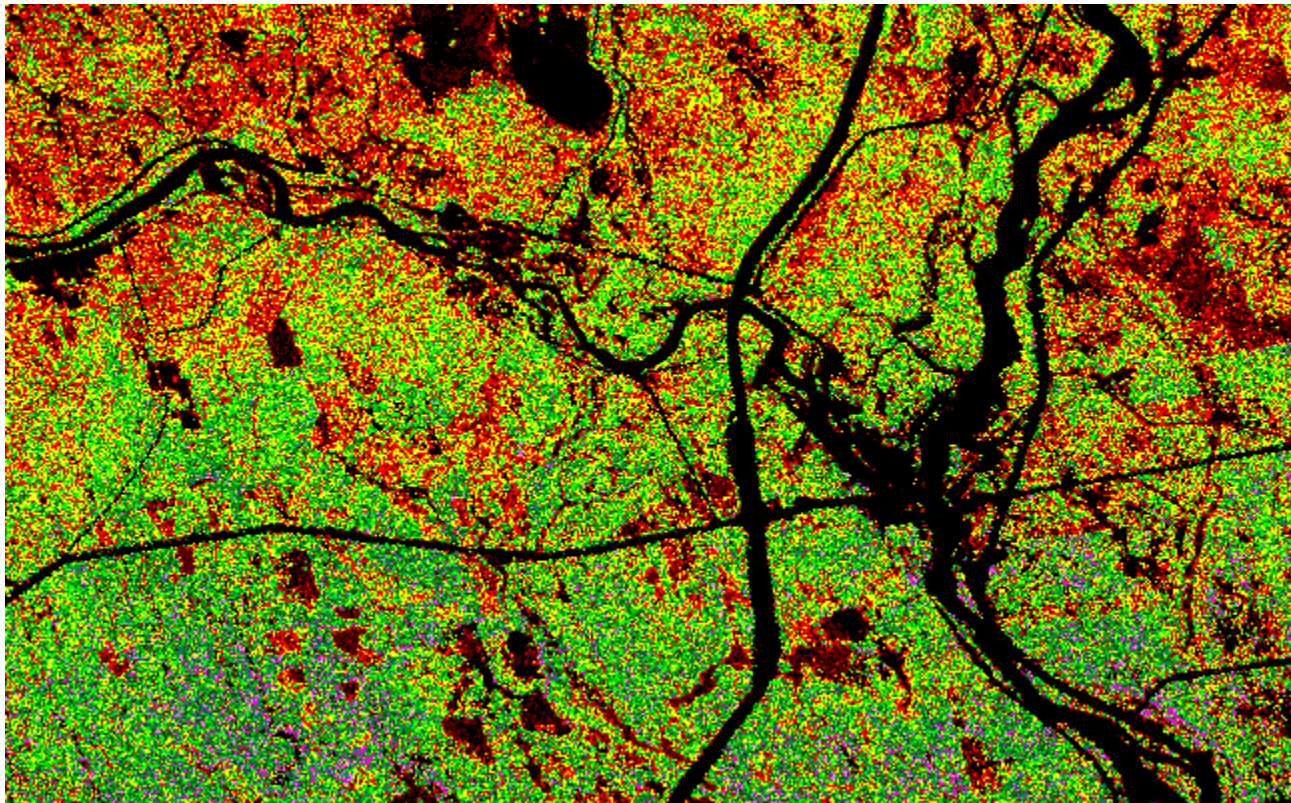
1. SAR Segmentation provide areas of non or low vegetation at the time of the imagery
2. Bare surface algorithm will be applied on SAR segmentated image
3. Final inversion algorithm will be applied on a combination of NLCD and SAR segmentation



NLCD

Soil Moisture Howland Maine

Aboveground Vegetation Biomass Density (Mg/ha)

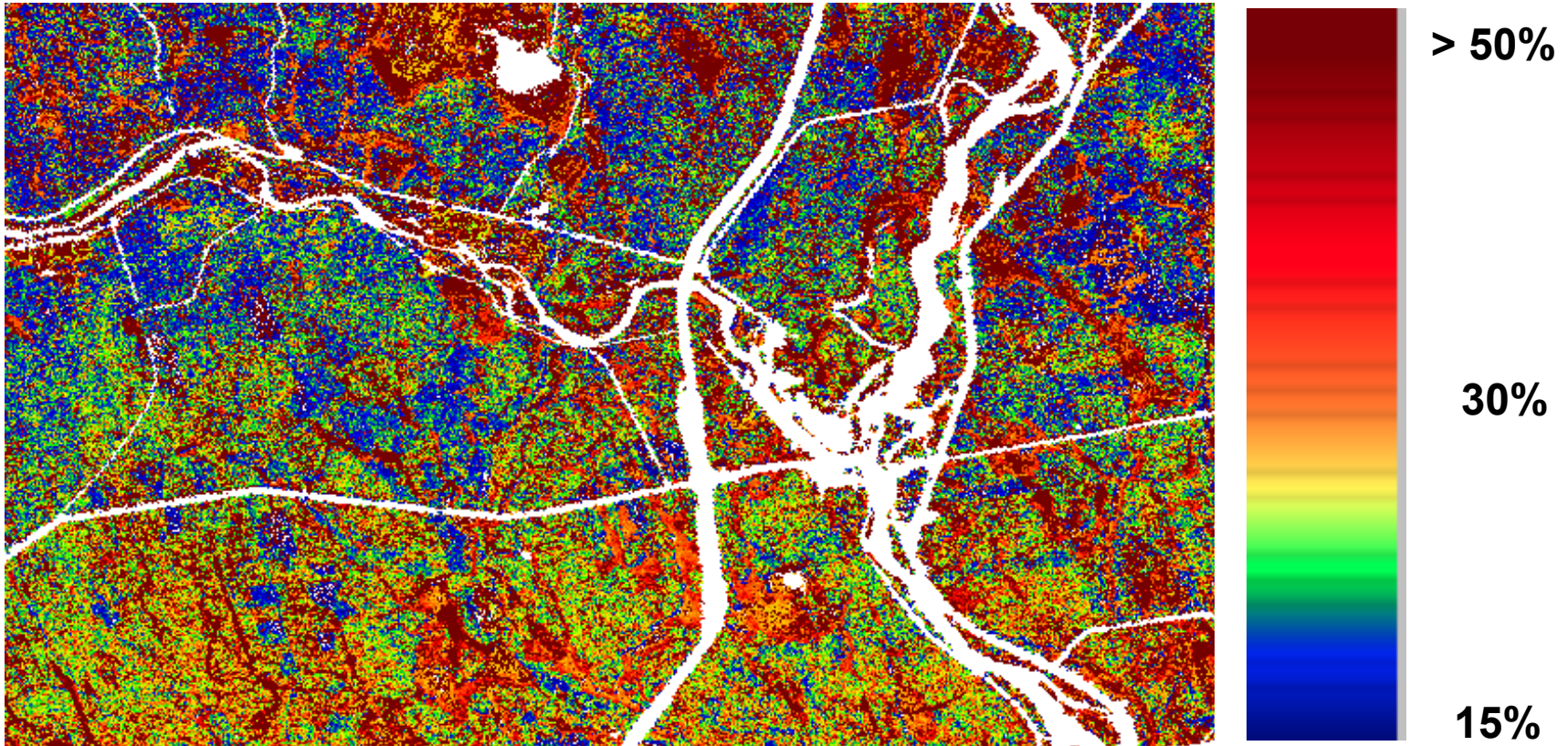


10/11/16

$0 < W < 350$ Mg/ha
Mean(W) = 64 Mg/ha

Soil Moisture Howland Maine

Soil moisture Map



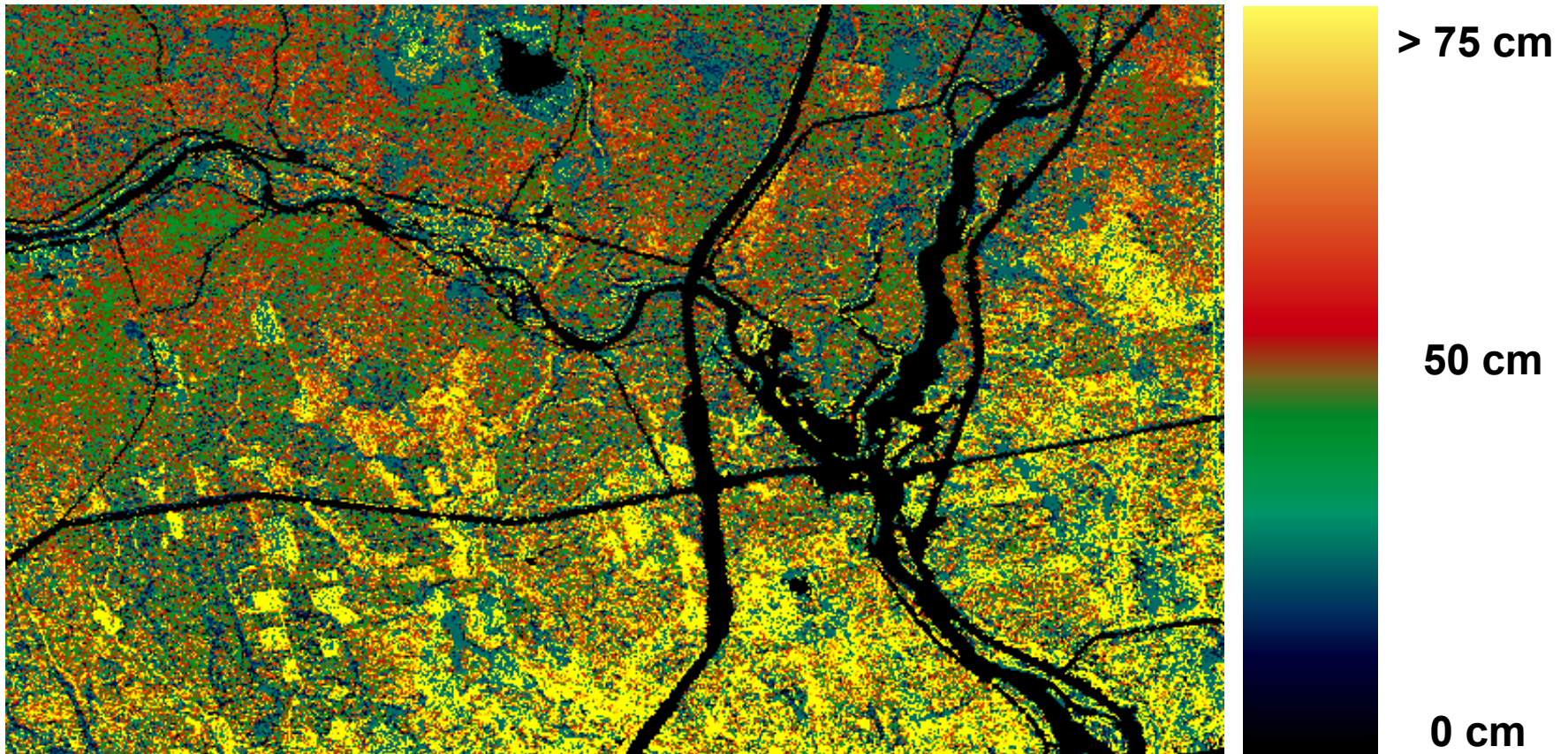
$0 < mv < 45\%$

Mean(mv) = 31.86%

Ground measurement = 28.4%

Soil Moisture Howland Maine

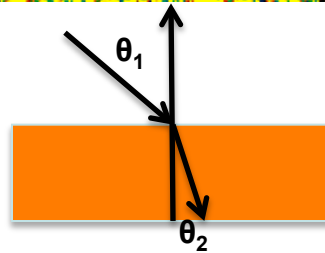
Soil Penetration Depth



$$d = \frac{-\log_e(0.1)\lambda}{2\pi n_i}; \quad n_i = \text{Im}(\sqrt{\epsilon_r})$$

10/11/16

$$\text{Depth} = d \cos\theta_2, \quad \sin\theta_2\sqrt{\epsilon_2} = \sin\theta_1\sqrt{\epsilon_1}$$

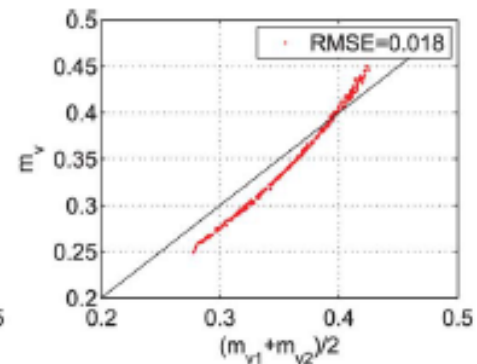
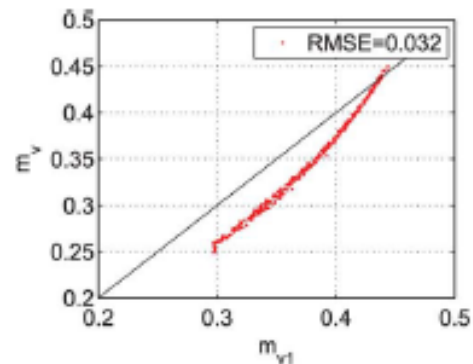
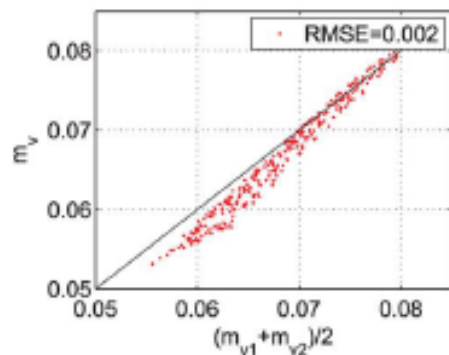
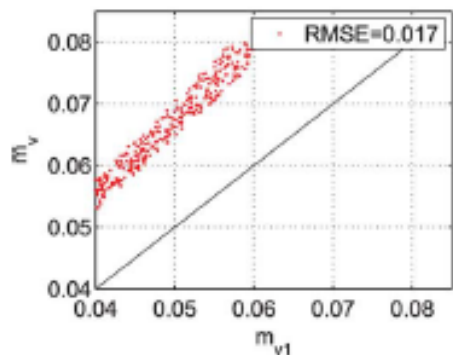
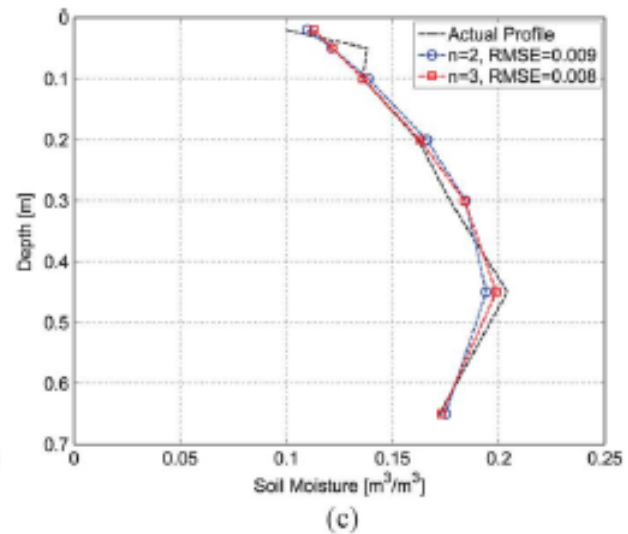
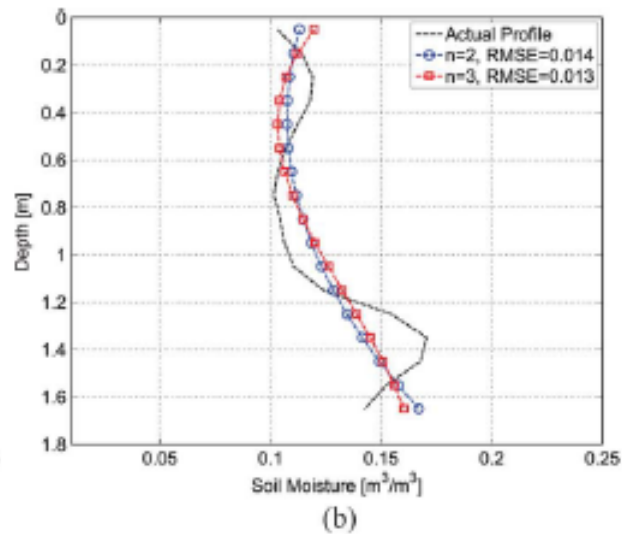
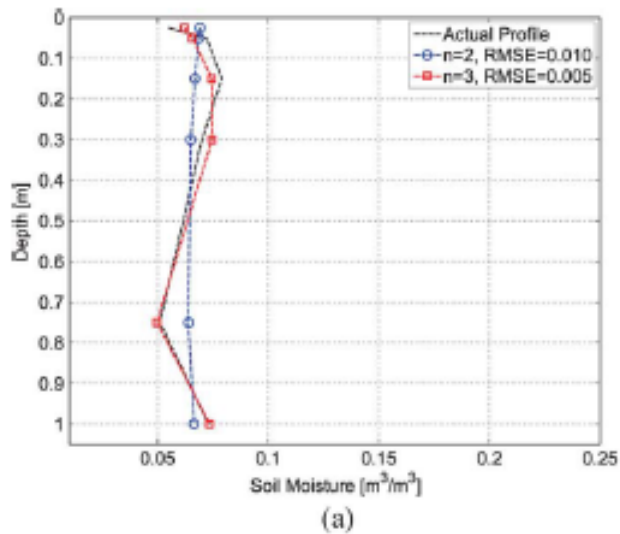


$0 < d < 75 \text{ m}$
Mean(d) = 50 cm

Measurement of Root-zone Soil Moisture

P-Band Radar Retrieval of Subsurface Soil Moisture

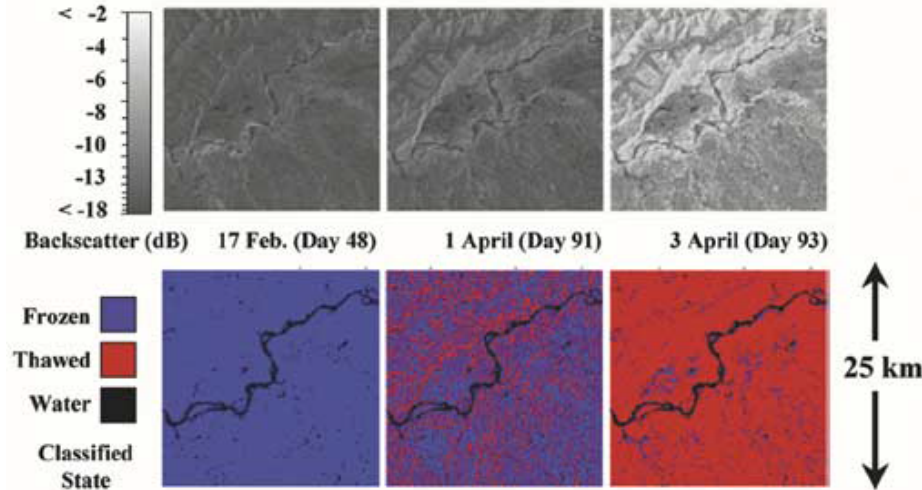
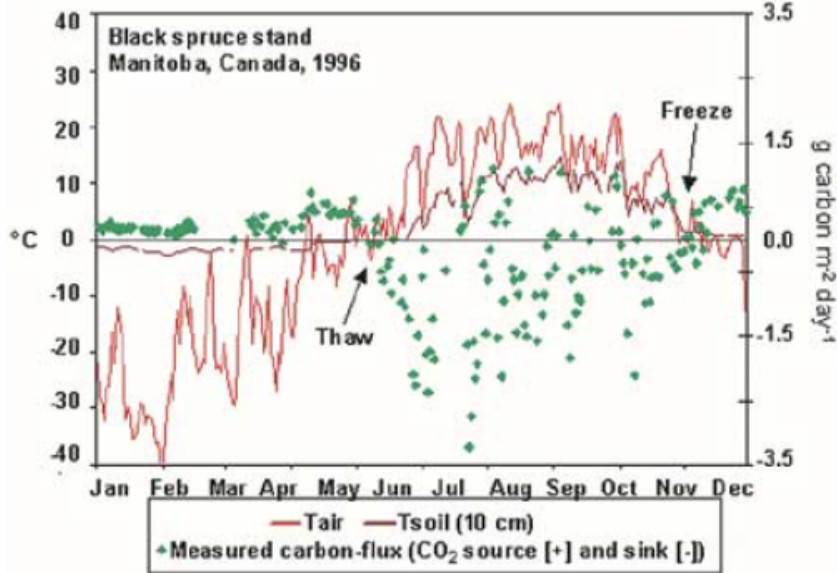
Tabatabaenejad et al. 2015



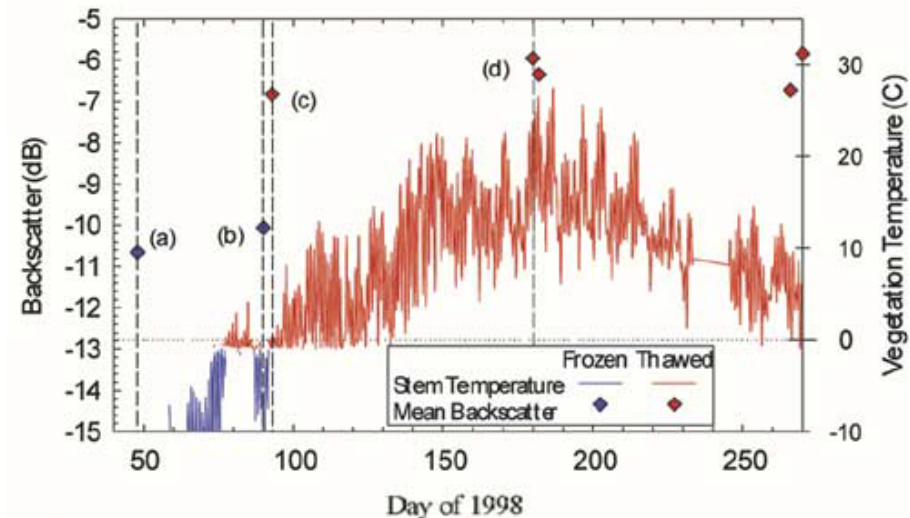
Microwave Observations of Freeze and Thaw of soil and vegetation

Entekhabi et al. 2004

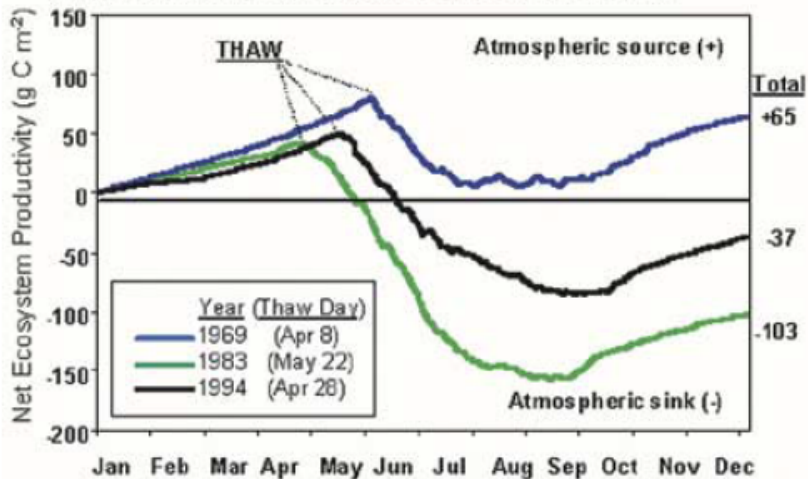
(a) Measured carbon flux comparison with air and soil temperature



(b) JERS-1 L-band SAR comparison with vegetation temperature



(b) Accumulating carbon flux for three different years



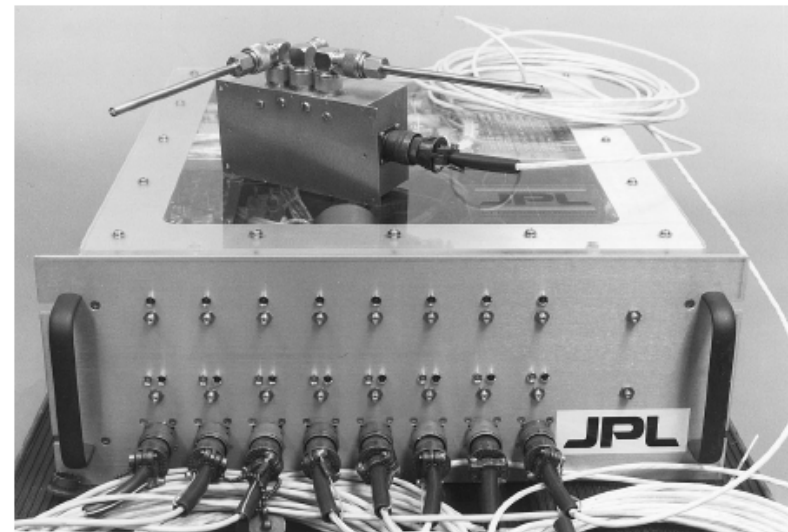
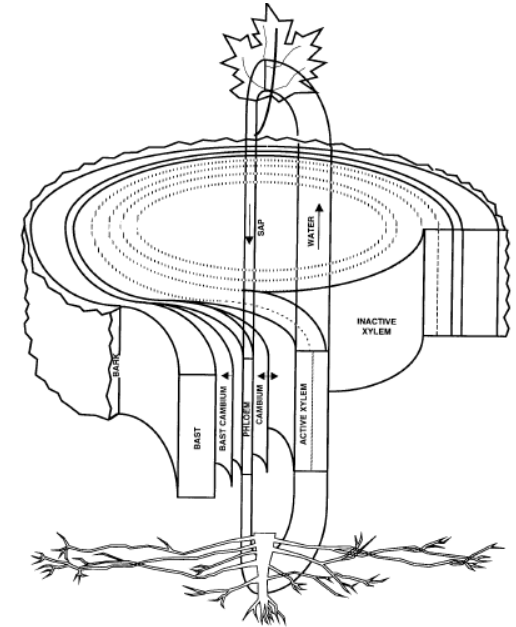
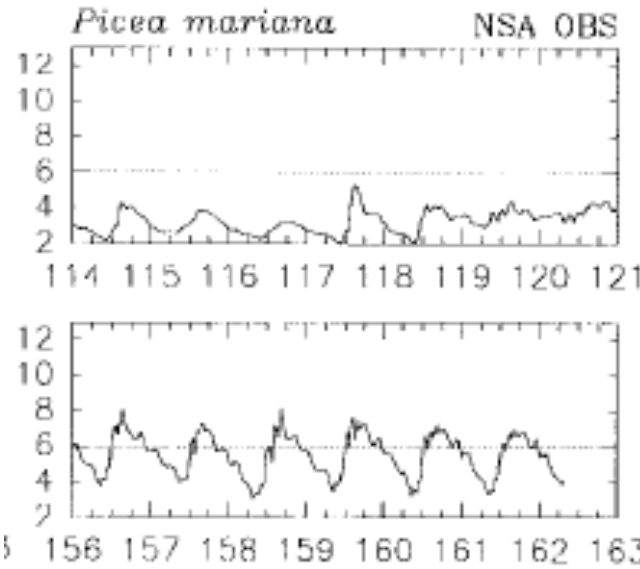
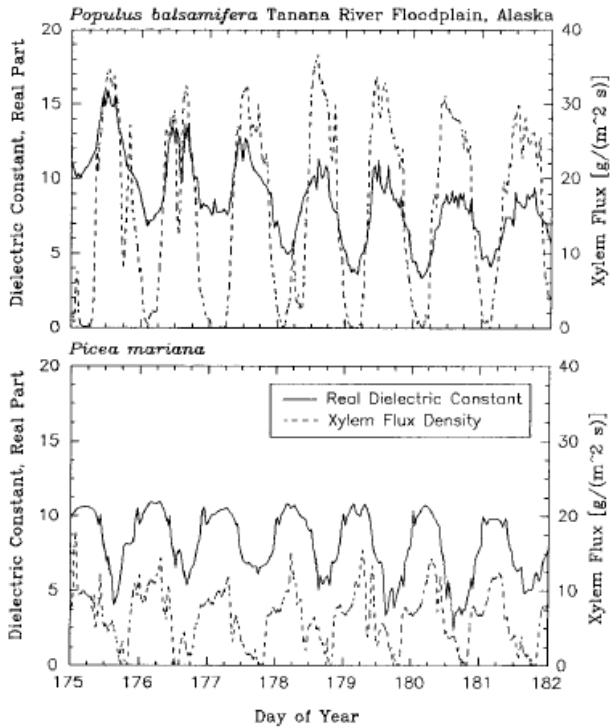


Linking Canopy Physiology to Hydraulics



Monitoring Water Flow in Woody Vegetation by Dielectric Probes

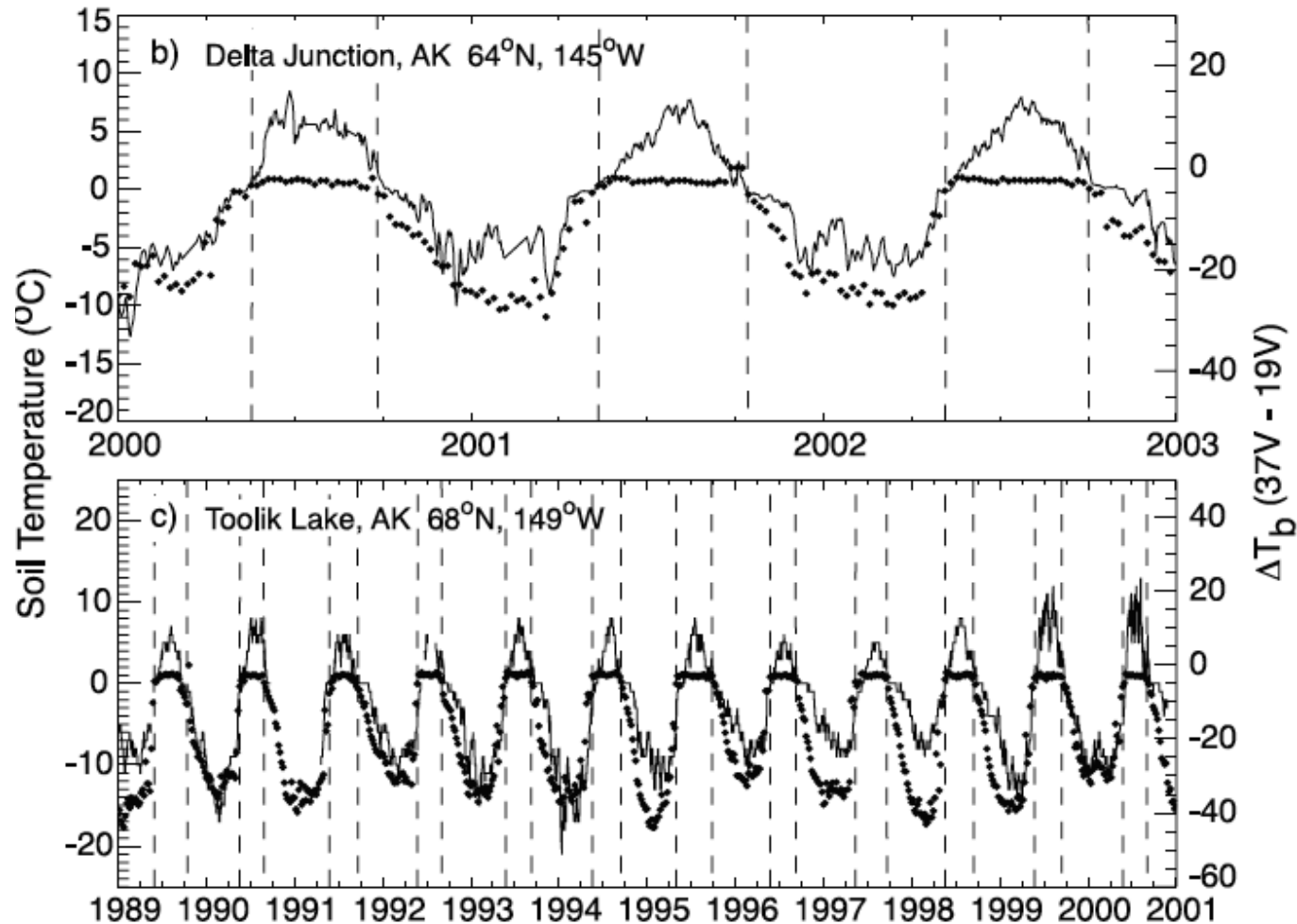
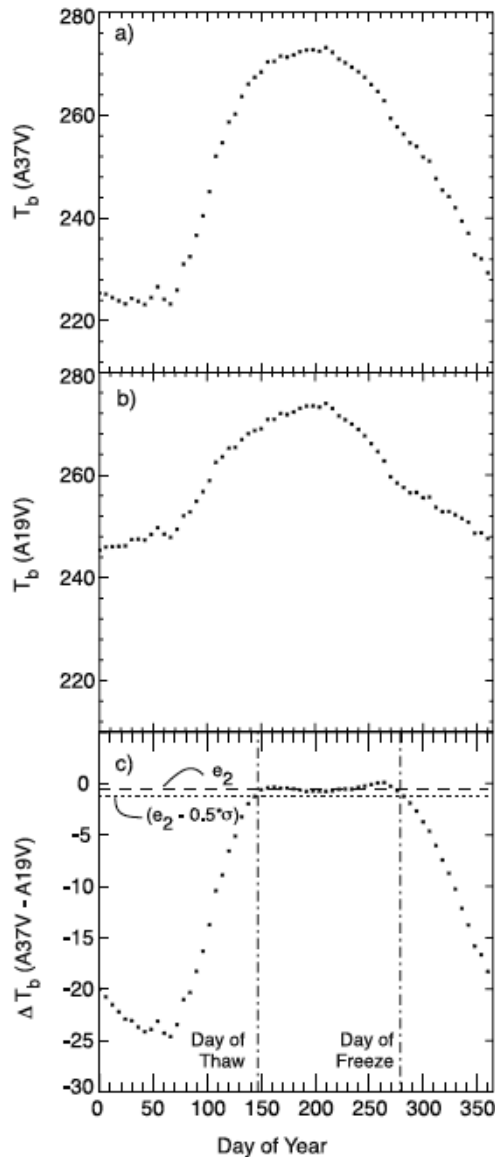
McDonald et al. 1999

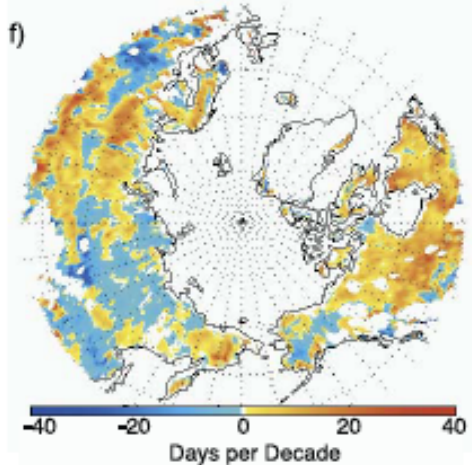
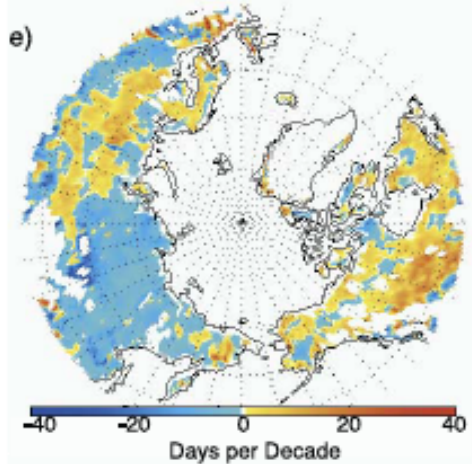
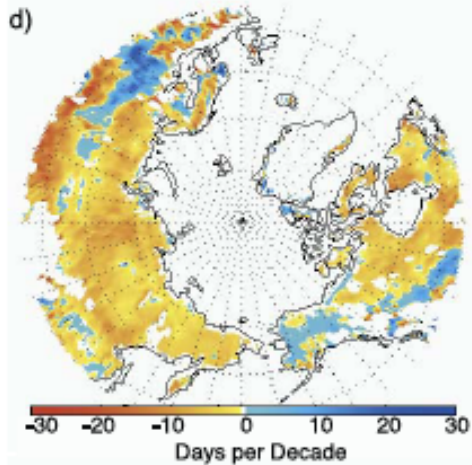


Trends in high northern latitude soil freeze and thaw cycles from 1988 to 2002

Smith, Saatchi & Randerson, 2004

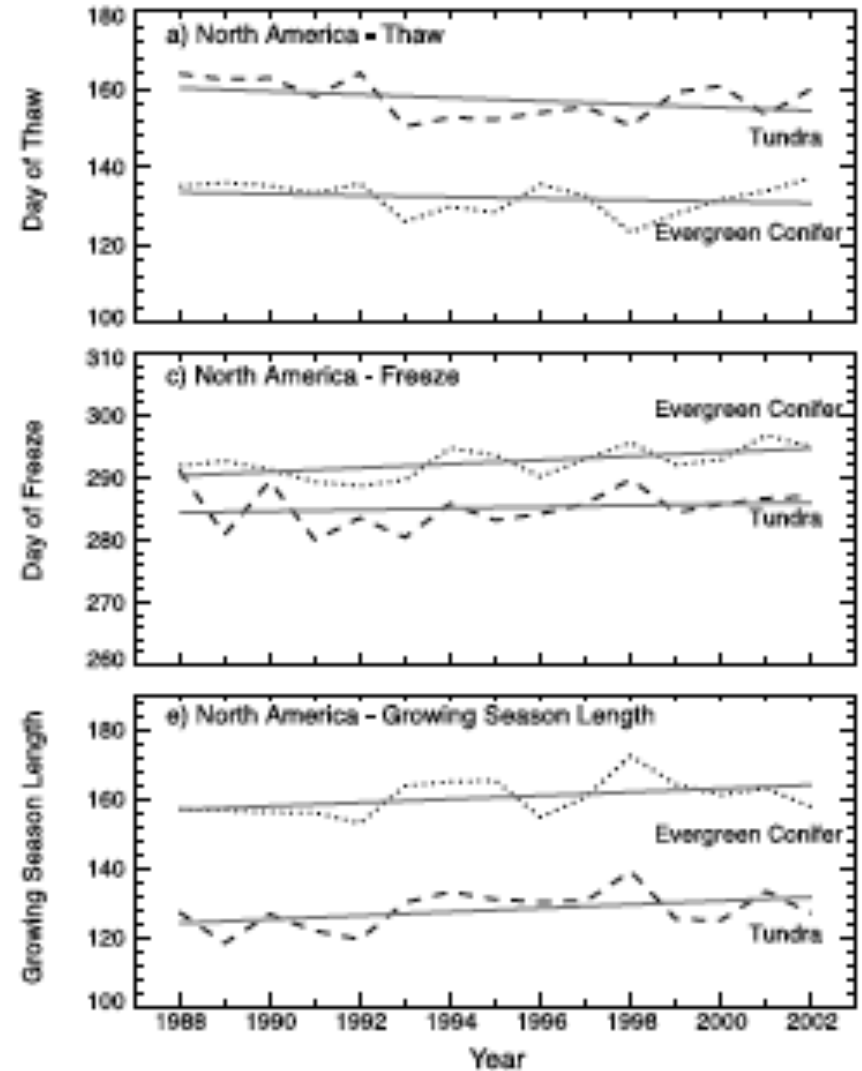
Microwave Radiometer (SSM/I)





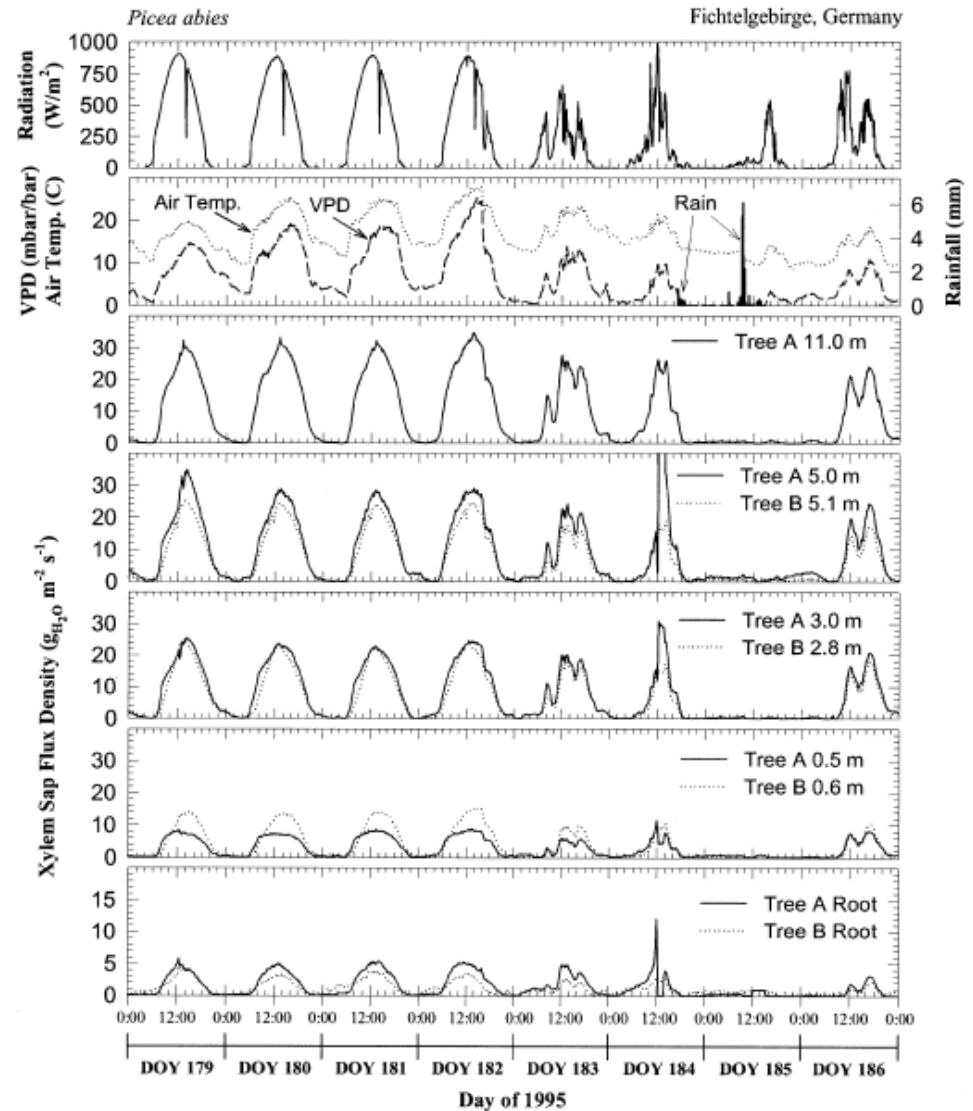
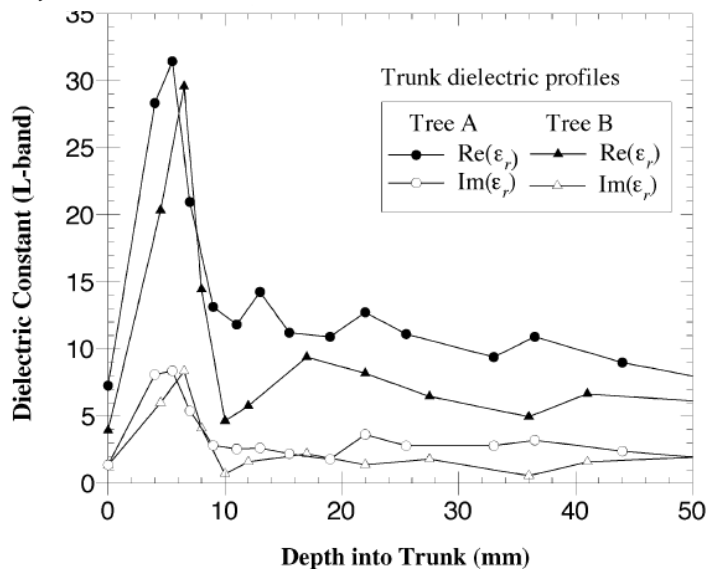
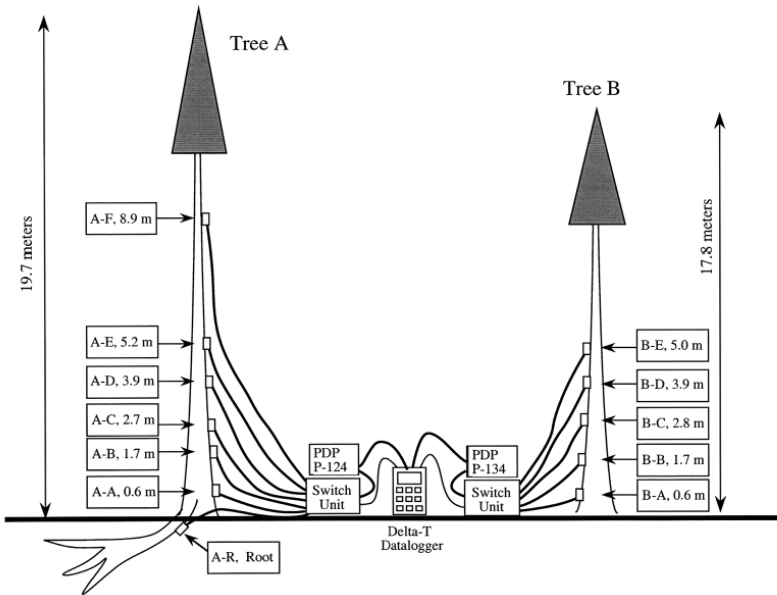
Trends in high northern latitude soil freeze and thaw cycles from 1988 to 2002

Smith, Saatchi & Randerson, 2004



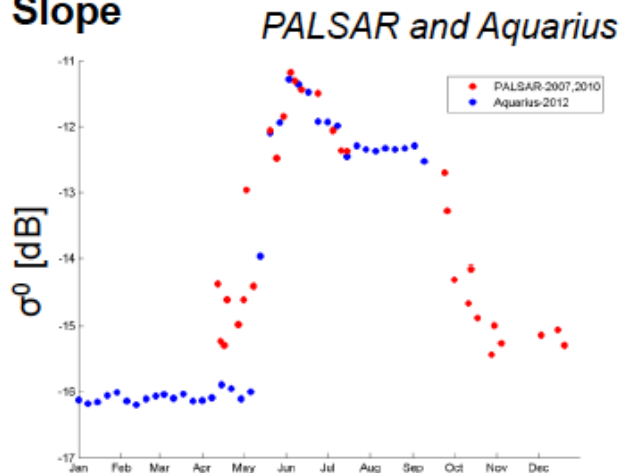
Diurnal & Spatial Variation of Xylem Dielectric Constant

McDonald et al. 2002

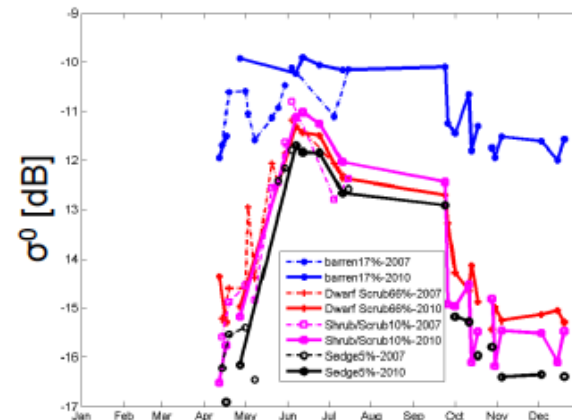


Aquarius and PALSAR

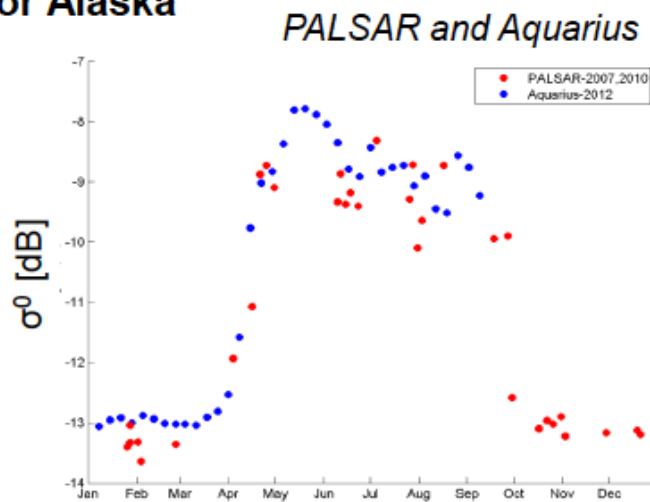
Site I: North Slope



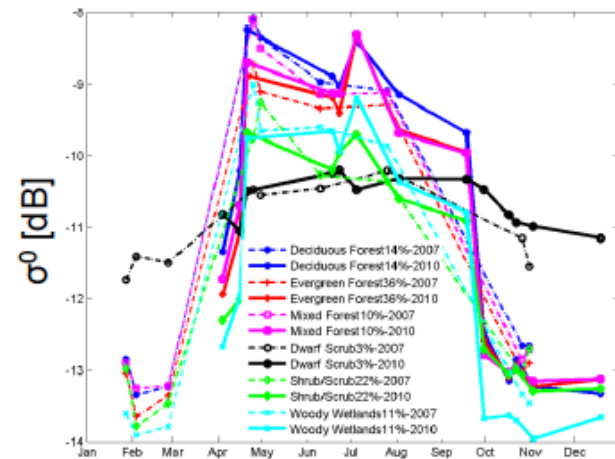
PALSAR – Dominant Landcover Types



Site II: Interior Alaska

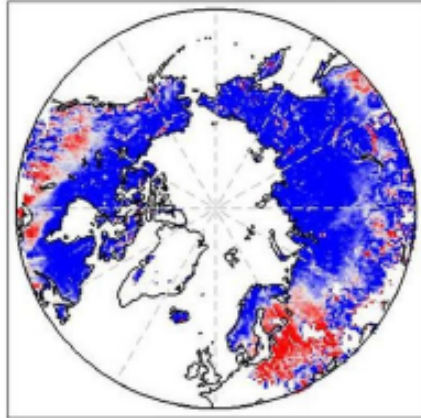


PALSAR – Dominant Landcover Types



April 1, 2015

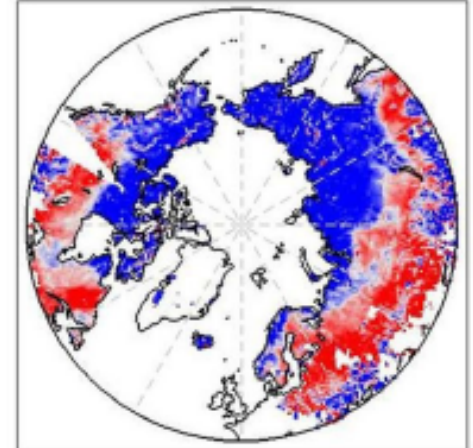
N36 offset: 3 (20150401 Descending)



Freeze T=0.5 Thaw

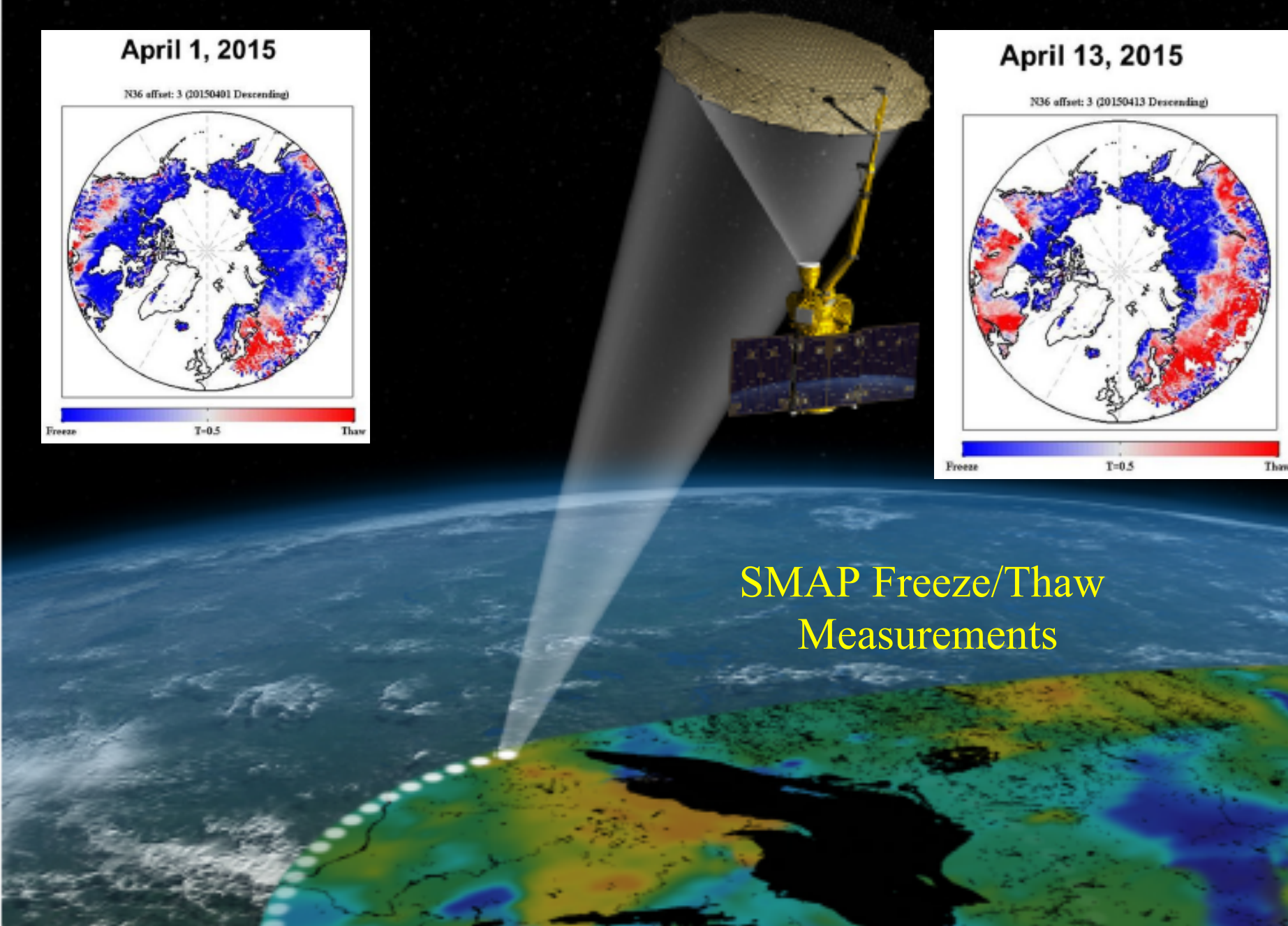
April 13, 2015

N36 offset: 3 (20150413 Descending)



Freeze T=0.5 Thaw

SMAP Freeze/Thaw Measurements

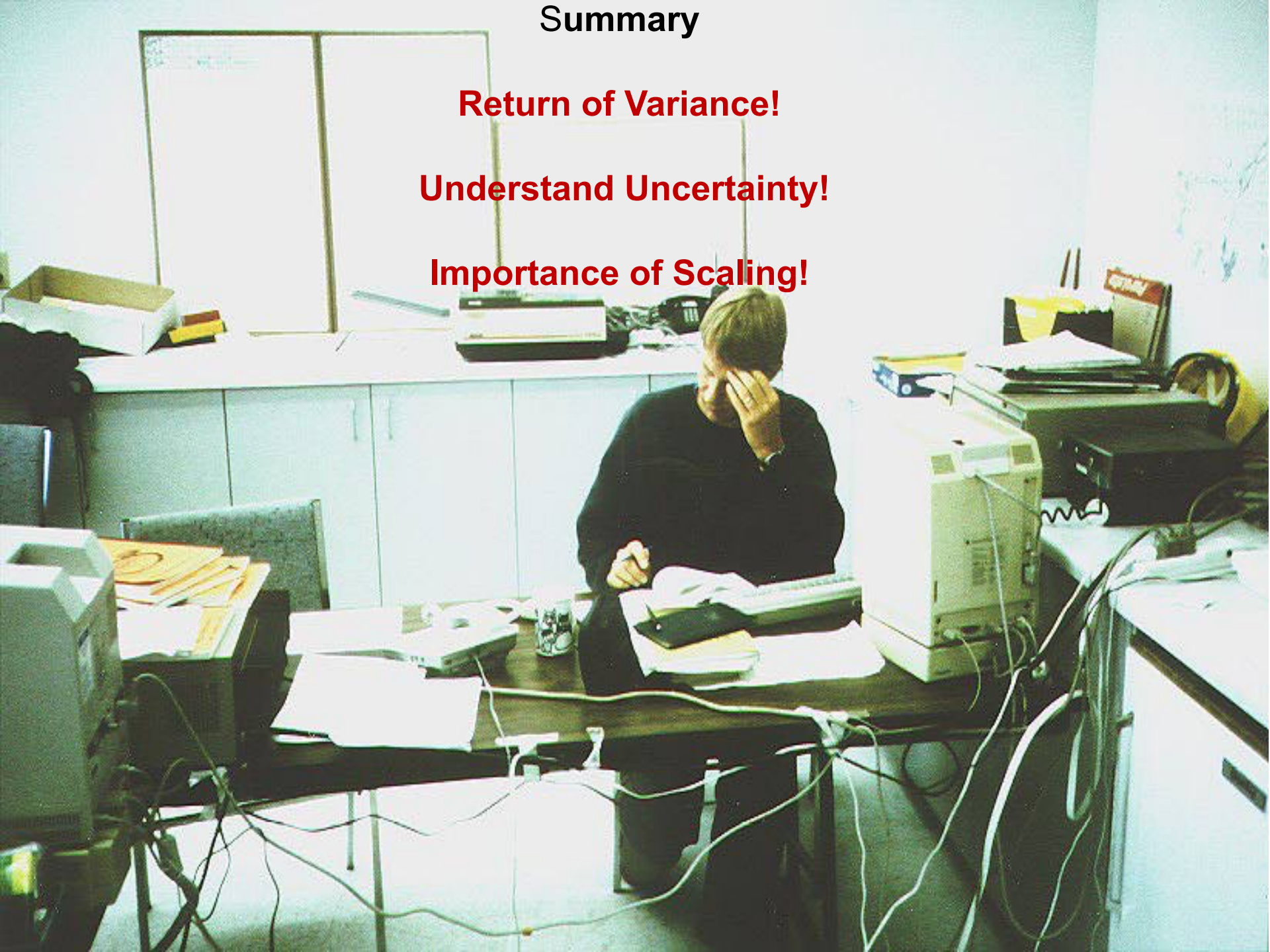


Summary

Return of Variance!

Understand Uncertainty!

Importance of Scaling!



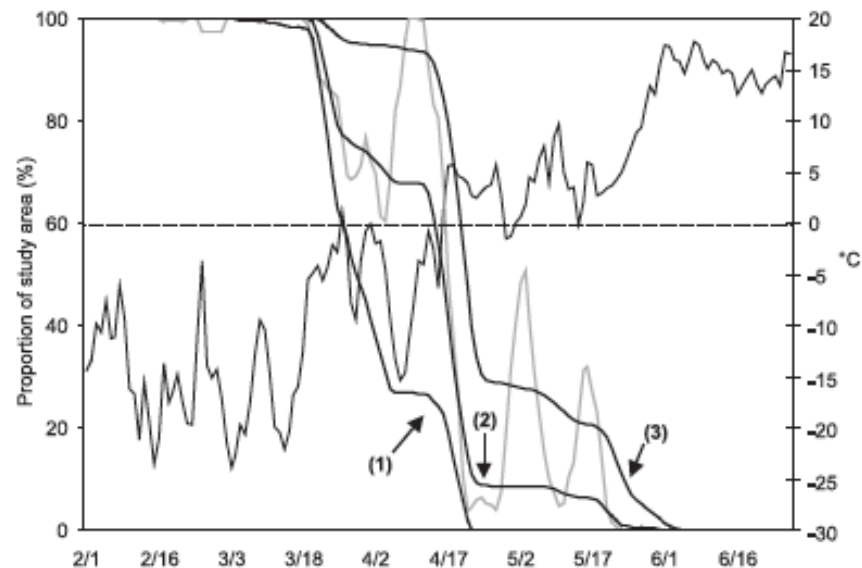
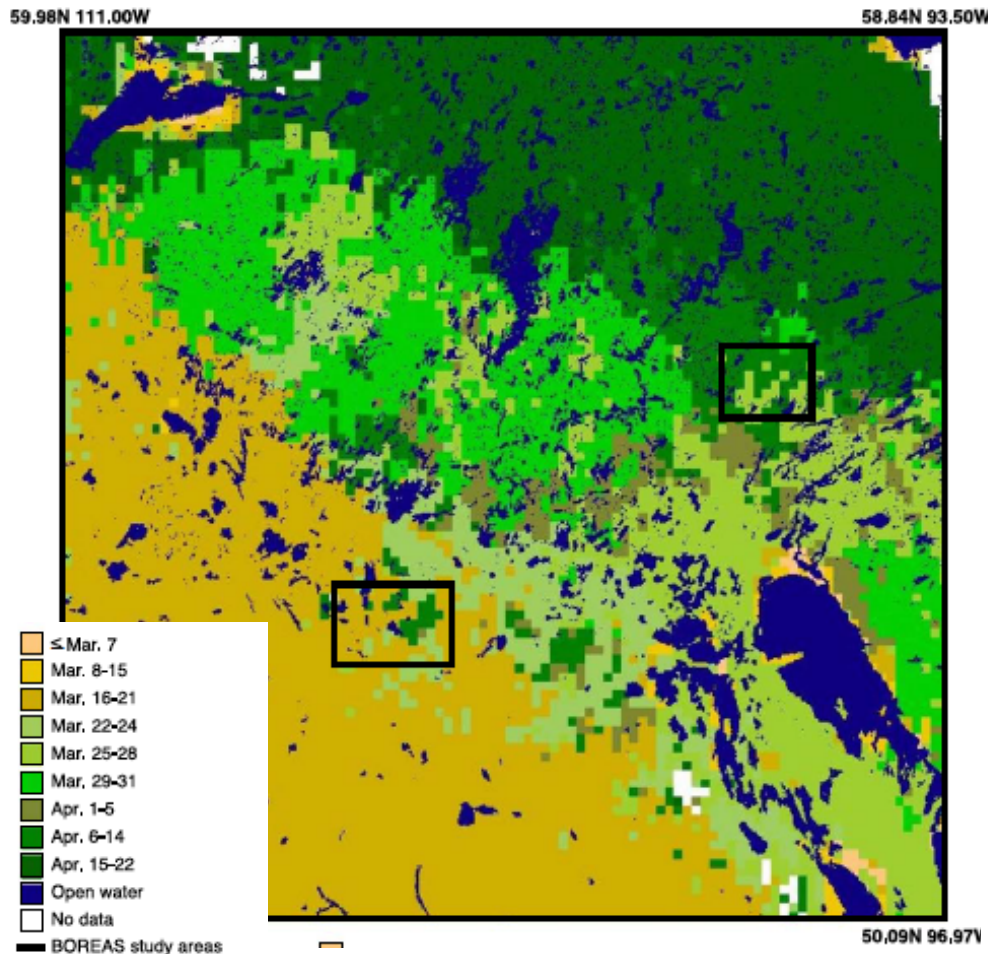


林

Radar Remote Sensing of the Spring Thaw Transition Across Boreal Landscape

Kimball, McDonald, et al. 2004

Radar Derived Initial Thaw



- Regional mean daily air temperature (5-day mean, °C).
- NSCAT derived proportional area (5-day means, %) not having yet attained initial (1), primary (2), and final (3) thaw events.
- Proportional area (5-day mean, %) under estimated frozen (i.e., mean daily air temperatures $\leq 0.0^{\circ}\text{C}$) conditions.





WE HAD NO CLUE!

