

1 **Prediction of the Export and Fate of Global Ocean Net Primary Production: The**
2 **EXPORTS Science Plan**

3
4 D.A. Siegel (UCSB), K.O. Buesseler (WHOI), M.J. Behrenfeld (OSU), C. Benitez-Nelson
5 (USoCar), E. Boss (UMaine), M.A. Brzezinski (UCSB), A.B. Burd (UGA), C.A. Carlson
6 (UCSB), E.A. D'Asaro (UW), S.C. Doney (WHOI), M.J. Perry (UMaine), R.H.R. Stanley
7 (WHOI) & D.K. Steinberg (VIMS)

8
9 Submitted to *Frontiers in Marine Science*

10
11 Date: November 25, 2015

12
13
14 **Abstract:**

15 Ocean ecosystems play a critical role in the Earth's carbon cycle and the quantification
16 of their impacts for both present conditions and for predictions into the future remains
17 one of the greatest challenges in oceanography. The goal of the EXport Processes in
18 the Ocean from Remote Sensing (EXPORTS) Science Plan is to develop a predictive
19 understanding of the export and fate of global ocean net primary production (NPP) and
20 its implications for present and future climates. The achievement of this goal requires a
21 quantification of the mechanisms that control the export of carbon from the euphotic
22 zone as well as its fate in the underlying "twilight zone" where some fraction of exported
23 carbon will be sequestered in the ocean's interior on time scales of months to millennia.
24 In particular, EXPORTS will advance satellite diagnostic and numerical prognostic
25 models by comparing relationships among the ecological, biogeochemical and physical
26 oceanographic processes that control carbon cycling across a range of ecosystem and
27 carbon cycling states. EXPORTS will achieve this through a combination of ship and
28 robotic field sampling, satellite remote sensing and numerical modeling. Through a
29 coordinated, process-oriented approach, EXPORTS will foster new insights on ocean
30 carbon cycling that maximizes its societal relevance through the achievement of U.S.
31 and International research agency goals and will be a key step towards our
32 understanding of the Earth as an integrated system.

33 **Fate of Net Primary Production and the Ocean's Carbon Cycle:**

34 Net primary production (NPP) by phytoplankton fix dissolved carbon dioxide and create
35 organic matter. The fate of this fixed carbon is regulated through a variety of ocean
36 ecosystem processes that control the vertical transport of carbon into the ocean's
37 interior. Only a small fraction of the organic matter formed via NPP is exported from the
38 surface ocean and in turn only a small fraction of that exported carbon is sequestered
39 from the atmosphere on decadal and longer time scales. There are several pathways
40 through which carbon flows within ocean food webs, each with different efficiencies that
41 lead to significant differences in the vertical transport of carbon into the ocean interior.
42 The predictive understanding of how these ecological, biogeochemical and physical
43 oceanographic processes work together to sequester carbon on humankind-relevant
44 time scales is critical for monitoring and predicting changes to the ocean's carbon cycle
45 especially in a changing climate. The development of this predictive understanding is
46 the goal of the EXport Processes in the Ocean from Remote Sensing (EXPORTS)
47 Science Plan.

48 Unfortunately present abilities to quantify the export and fate of ocean NPP from
49 satellite observations or to predict future fates using Earth system models are limited. In
50 fact, current estimates of global carbon export flux from the well-lit surface ocean range
51 from 5 to >12 Pg C yr⁻¹, an uncertainty range that is as large as the annual perturbations
52 in the global carbon cycle due to human activities (e.g., Boyd and Trull, 2007; Henson et
53 al. 2011). The exported carbon flux from the surface ocean is attenuated with depth,
54 sometimes quite rapidly. Knowledge of the vertical transmission of export flux below the
55 surface ocean is again limited with little predictive power either in space or in time (e.g.,
56 Buesseler and Boyd, 2009; Burd et al. 2009). This is particularly troubling considering
57 that we know the global ocean is changing.

58 Figure 1 illustrates the ocean food web processes that drive the transformation and
59 partitioning of carbon among the various particulate and dissolved carbon reservoirs
60 (Figure 1). First, dissolved inorganic carbon (DIC) is photosynthetically fixed into
61 particulate organic carbon (POC) by phytoplankton (and by some phytoplankton into
62 particulate inorganic carbon [PIC]) in the euphotic zone (EZ). Phytoplankton carbon is in
63 turn grazed upon by both micro- and macrozooplankton that respire much of the
64 ingested organic matter back into DIC or release it as dissolved organic carbon (DOC).
65 A fraction of that phytoplankton carbon is exported from the surface ocean either as
66 sinking fecal pellets or as aggregates that are created from the pool of suspended POC
67 and PIC by physical and food-web processes (e.g., Stemann et al. 2004; Buesseler
68 and Boyd, 2009). Zooplankton also contribute to export through their diurnal and
69 seasonal migrations from the EZ to several 100 m's deeper into the twilight zone (TZ),
70 where carbon consumed at the surface is subsequently respired as CO₂, excreted as
71 DOC or released as fecal pellets (e.g., Steinberg et al. 2000; Bianchi et al. 2013;
72 Jonasdottir et al. 2015). Further in the TZ, a host of remineralization processes driven
73 by bacteria and zooplankton recycle sinking and suspended organic matter, further
74 influencing the attenuation of the vertical carbon flux (e.g., Steinberg et al. 2008; Burd et
75 al. 2009; Giering et al. 2014).

76 Physical processes also affect the fate of accumulated carbon pools in the surface
77 ocean. For example, the transport of suspended POC and DOC from the EZ to the TZ

78 via subduction, isopycnal exchange and seasonal convective mixing represents up to
79 20% of global carbon export from the EZ and provides another carbon source for TZ
80 microbial communities (e.g., Hansell et al. 2009; Carlson et al. 2010). Further, intense
81 upwelling and downwelling motions (several 10's m's per day) induced by the
82 submesoscale (~1 to 20 km) flow field also have the potential to transport large amounts
83 of organic matter to depth where some portion of it is remineralized resulting in a net
84 export of carbon from the upper ocean (e.g., Lévy et al. 2013; Omand et al. 2015).

85 There are thus three important pathways that need to be quantified to develop
86 diagnostic and predictive models for the export and fate of oceanic NPP. These are:

- 87 • Gravitational settling of particulate carbon as intact phytoplankton, aggregates and
88 zooplankton byproducts,
- 89 • Vertical advection and mixing of suspended particulate and dissolved organic carbon
90 to depth by physical oceanographic processes, and
- 91 • Vertical transport of organic carbon due to the diurnal and/or life cycle migration of
92 zooplankton and their predators.

93 These pathways and their relationship with their sources in the surface ocean are
94 illustrated in the EXPORTS conceptual diagram (Figure 1). EXPORTS will create a
95 predictive understanding of both the export of carbon from the well-lit, upper ocean (or
96 euphotic zone) and its fate in the underlying “twilight zone” (depths of 500 m or more)
97 where a variable fraction of that exported carbon is respired back to CO₂. A predictive
98 knowledge of the ocean carbon cycle is important societally for many reasons, including
99 determining anthropogenic carbon sequestration, monitoring ocean deoxygenation and
100 predicting the impacts of ocean acidification and future fisheries yields (e.g., Doney et
101 al. 2009; 2012; Cheung et al. 2010; Keeling et al. 2010).

102 Here we present the results of a community planning effort aimed at developing a
103 predictive understanding of the export and fate of global NPP (EXPORTS Writing Team,
104 2015). The EXPORTS Science Plan is a community vetted plan for a major field
105 campaign sponsored by NASA. At the time of this writing EXPORTS is under
106 consideration for implementation by NASA with potential involvement of additional
107 partners. Our goal here is to present a high-level description of the EXPORTS science
108 objectives, science questions, approach and possible implementation. We believe this
109 approach is required for quantifying and predicting the export and fate of global oceanic
110 NPP and understanding its roles in the Earth's carbon cycle.

111

112 **EXPORTS Hypothesis:**

113 The overarching hypothesis for EXPORTS is that ...

114 ***Carbon export from the euphotic zone and its fate within the twilight zone***
115 ***can be predicted knowing characteristics of the surface ocean ecosystem.***

116 The corollary to this hypothesis suggests that the importance of the export pathways
117 should vary systematically among differing ocean ecosystem conditions. Together this
118 implies that a comprehensive data set can be created to test this hypothesis by
119 sampling NPP, export and fate among a range of ecosystem states. This focus on

120 sampling a range of ecosystem / carbon cycling states is central to the EXPORTS
121 experimental approach.

122 One way to visualize ecosystem / carbon cycling state differences is shown in Figure 2
123 (after Buesseler and Boyd, 2009). For each site and time, export efficiency can be
124 quantified by the ratio of NPP to POC flux at the base of the EZ (Export ratio; Y-axis of
125 Figure 2), and the transmission of export flux below the EZ defined by the ratio of POC
126 flux 100m below the EZ to that at the base of the EZ (T_{100} ; X-axis). The plotting of these
127 two metrics permit both regional and seasonal variability in carbon cycling states to be
128 characterized and related to differences in upper ocean characteristics.

129 It is instructive to examine two end-member sites; the North Atlantic spring bloom
130 (efficient export and weak attenuation below the EZ; green circles in Figure 2) and the
131 low-iron waters of the NE subarctic Pacific (inefficient export yet strong attenuation in
132 the TZ, orange circles). During the spring bloom, about half of the NPP is exported out
133 of the EZ and there is negligible POC attenuation in the first 100 m below EZ. The net
134 effect is an extremely strong and efficient export of NPP with >40% of NPP found at
135 100m below the EZ relative to NPP (see contour lines). By the summer at the same site,
136 however, the food web shifts to a more recycling dominated system, and <15% of the
137 NPP is lost from the surface is exported depth with about 50% POC flux attenuation in
138 the first 100 m below the euphotic zone. In the NE Pacific (Papa in Figure 2), we see a
139 significantly lower export efficiency, with EZ export ratios of < 15%, and roughly 70% of
140 the POC flux attenuated within the first 100 m below the EZ (orange circles). The food
141 web at Station P is dominated by small phytoplankton < 5 μm that are under tight grazer
142 control and thus do not lead to high export efficiencies (e.g., Boyd and Harrison, 1999).
143 Other sites and times indicate that there will be a wide range of export flux efficiencies
144 and TZ attenuation rates (Figure 2; see Buesseler and Boyd [2009] for more
145 information).

146 The recent food-web model / satellite data synthesis by Siegel et al. [2014] is a useful
147 example for how the overall EXPORTS hypothesis could be tested. These authors use
148 available satellite observations of NPP, particle size and phytoplankton carbon to
149 diagnose size-fractionated phytoplankton carbon budgets and to model sinking export
150 using a simple food web model (Figure 3a). The resulting climatological fields of the
151 carbon export from the EZ by sinking particles and export efficiency (= export/NPP) are
152 shown in figure 3a and 3b, respectively. The model / satellite data synthesis results
153 correlate well with available particle export estimates over a wide range of ecosystem /
154 carbon cycling states ($r^2 = 0.75$ vs. available, regional-scale ^{234}Th determinations of
155 export). The global carbon export summaries are also robust to large changes in food-
156 web model parameters or choice of satellite data algorithms. Further the modeled
157 spatial patterns in export and export efficiency have a realism not found in previous
158 global summaries of export efficiency (see Siegel et al. [2014] for more details).

159 There are several significant, yet missing, processes in the Siegel et al. [2014] synthesis
160 that EXPORTS must consider. First, the Siegel et al. [2014] results focus on sinking
161 particle export and do not explicitly address the pathways for export due to the physical
162 mixing and subduction of suspended particulate carbon or DOC. Similarly, the analysis
163 does not include the carbon cycle impacts of vertically migrating zooplankton. The
164 pathways and constants used in the food-web model do not respond to changes in the

165 plankton community structure or environmental conditions (e.g., Silver and Michaels,
166 1989; Boyd and Stevens, 2002). Last, the fates of the exported carbon below the EZ are
167 not addressed.

168 New developments suggest that these missing processes may be estimated using
169 satellite remote sensing data. New remote sensing tools are being developed to use
170 high-spectral resolution reflectance spectra to assess phytoplankton functional types on
171 global scales (e.g., Bracher et al. 2009). Knowing phytoplankton size distribution and
172 functional type together are first steps towards characterizing pelagic food webs (e.g.,
173 Michaels and Silver, 1989). It has been shown recently that the performance of the
174 Siegel et al. [2014] export flux model improves substantially if the parameters are
175 regionally tuned supporting the importance of food web structure (Stukel et al. 2015).
176 Further, recent field data summaries show strong relationships between the vertical
177 scales of sinking flux attenuation in the TZ and both phytoplankton community structure
178 in the EZ (Guidi et al. 2015; Puigcorb  et al. 2015) and environmental conditions in the
179 TZ (Marsay et al. 2015). The explicit testing of the EXPORTS hypothesis and
180 development of modeling tools to diagnose carbon cycling processes will require an
181 extensive data set of a wide range of ecosystem / carbon cycling states.

182

183 **Science Questions and High-Level Objectives:**

184 The EXPORTS Science Plan proposes three fundamental science questions relating
185 the characteristics of plankton communities in the well-lit surface ocean to the
186 predictions of the export and fate of global NPP. The three EXPORTS Science
187 Questions are:

- 188 1. How do upper-ocean ecosystem characteristics determine the vertical transfer of
189 carbon from the well-lit surface ocean?
- 190 2. What controls the efficiency of vertical transfer of carbon below the well-lit surface
191 ocean?
- 192 3. How can the knowledge gained be used to reduce uncertainties in contemporary &
193 future estimates of the export and fates of global ocean NPP?

194 Each science question has four sub-questions that together test the overall EXPORTS
195 hypothesis. As the sub-questions are detailed in the EXPORTS Science Plan, they are
196 not presented here (EXPORTS Writing Team, 2015).

197 Answering the three EXPORTS science questions will require new data and models that
198 quantify the export and fate of global NPP. The EXPORTS Science Plan established a
199 set of guiding objectives that must be achieved. The objectives are:

- 200 • Conduct a coordinated, multidisciplinary field campaign that will provide answers to
201 the EXPORTS science questions,
- 202 • Improve our understanding of NPP export and fates and our abilities to monitor and
203 predict their changes on regional to global scales,
- 204 • Develop an efficient, cost-effective plan through an integration of field and satellite
205 observations and numerical modeling,

- 206 • Answer important high-level, agency science questions, such as those posed in
207 NASA's Science Plan (NASA, 2014), and
208 • Provide a path for global carbon cycle assessments for NASA's up-coming Pre-
209 Aerosol, Clouds and ocean Ecosystem (PACE) mission
210 (<http://decadal.gsfc.nasa.gov/pace.html>).

211 These high-level objectives are aimed to help maximize the scientific output and
212 address mission agency needs through the EXPORTS field campaign. In particular,
213 EXPORTS will provide important algorithmic insights for the upcoming PACE satellite
214 ocean color mission enabling the construction and validation of a new generation of
215 carbon cycle satellite ocean data products.

216
217 **Experimental Approach:**

218 The EXPORTS Science Plan aims to quantify the underlying mechanisms that drive the
219 export and fate of global NPP over a range of ecosystem / carbon cycling (ECC) states
220 necessary to create the next generation of ocean carbon cycle models. Figure 4
221 presents the EXPORTS "wiring diagram" that illustrates the dominant pathways for
222 carbon export from EZ and its fates in both the EZ and the TZ. The wiring diagram
223 contains the expected components of a pelagic food web – autotrophic production in the
224 EZ, micro- and macrozooplankton grazing and microbial loops in both the EZ and TZ
225 and the formation and destruction of aggregates, which act to transform materials from
226 the suspended to the sinking pools and back again. Although not explicitly represented
227 in figure 4, an assessment of plankton functional types is also considered in the
228 EXPORTS Science Plan. The flows of carbon from the EZ to the TZ are comprised of A)
229 sinking particulate materials, B) the advection and mixing of DOC and suspended C
230 stocks and C) active transport via migrating zooplankton as illustrated in figure 4.

231 The topology of the wiring diagram is expected to differ for different ECC states. This
232 was illustrated previously in the export efficiency – vertical particle flux transmission plot
233 shown in figure 2, but we now hypothesize that these differences alter NPP export and
234 fate pathways. For example, the dominant pathways during the North Atlantic spring
235 bloom emphasize rapid pathways for export associated with large phytoplankton and
236 large zooplankton creating an efficient transfer of phytodetritus and aggregate materials
237 to depth (Figure 5a). A very different case arises for summertime conditions in the
238 Northeast Subarctic Pacific Ocean near Station P (Figure 5b). There, a more complex
239 food web will be observed where smaller phytoplankton dominate NPP in summer
240 resulting in a strongly recycled food web in the EZ. As such, this system is
241 characterized by greatly diminished carbon export efficiencies both in the EZ and below
242 (Figure 5b).

243 EXPORTS is designed to facilitate improvements in our predictive understanding of
244 pelagic ecosystems and carbon cycling via the longitudinal comparison of observations
245 collected across a realistic range of ECC states. It is therefore important that
246 measurements of all the pools and pathways detailed in figure 4 be measured at the
247 same time across all ECC states sampled. In particular, new automated microscopy
248 tools have the potential to revolutionize oceanography by providing statistically
249 meaningful descriptions of the underlying phytoplankton and zooplankton groups

250 present (e.g., Sosik and Olson, 2007; Stemmann and Boss, 2012; Guidi et al. 2015).
251 Further, supporting oceanographic observations needed for answering the science
252 questions (which may include submesoscale physical oceanographic surveys) as well
253 as measurements that link to satellite remote sensing products (e.g. water-leaving
254 reflectance spectra, inherent optical properties, etc.) must also be collected. Last, the
255 EXPORTS Science Plan requires the sampling of biogeochemical property profiles (O_2 ,
256 NO_3 , DIC, etc.) over long enough time scales (many months to years) so that changes
257 in the integrated biogeochemical stocks can be compared with the summed pathway
258 fluxes. These long-term stock measurements can be made from autonomous profiling
259 floats or from periodic discrete water profiles taken from ships of opportunities (e.g.,
260 Emerson et al., 1991; Riser and Johnson, 2008).

261 The EXPORTS experimental approach is dependent upon the assessment of an
262 ecosystem / carbon cycling state. There are several constraints for defining an ECC
263 state. For example, the length of time of sampling must be long enough to allow that all
264 the measurements required to answer the science questions are collected. Further, the
265 sampling duration should be long enough so the particles collected in traps at depth are
266 sampled in the surface ocean. This corresponds to a time scale of roughly 10 days
267 assuming a trap at 500 m is sampling slowly sinking particles (50 m d^{-1}). Recent work by
268 Estapa et al. [2015] provides additional clues for the appropriate sampling period. These
269 authors made simultaneous determinations of POC export (via ^{234}Th disequilibrium) and
270 net community production (NCP; via O_2/Ar gas tracers) on ~ 2 km spatial scales over
271 eight 30 to 40 km transects. Over long temporal and large spatial scales, determinations
272 of export and NCP should balance. However on a point-by-point basis, Estapa and
273 colleagues found little statistical correspondence between the two determinations.
274 However when averaged over each transect, an excellent statistical correspondence
275 was found between the transect-averaged NCP and export determinations. This
276 supports a hypothesis that local-scale (or submesoscale $\leq \sim 50$ km) environmental
277 processes leading to autotrophic particle production (NCP) are not necessarily
278 collocated with those that remove particles from the surface ocean (aggregation and
279 grazing). These results suggest that a multiday sampling over several 10's of kms is
280 required to represent an ECC state for these biogeochemical fluxes. Taking into
281 account the above considerations and the logistical issues required for sampling the
282 diversity of required oceanographic observations, results in a time scale of about 10
283 days needed for sampling a single ECC state. One should expect that in a typical four-
284 week cruise, two ECC state assessments could be completed.

285 The EXPORTS experimental approach is intended to be modular. Thus it is less
286 important where and when the observations are made but rather that the entire
287 measurement suite be sampled appropriately and that a wide enough range of ECC
288 states are collected to enable robust model building and testing. The modular nature
289 also makes it straightforward for any partner to contribute to EXPORTS independent of
290 the formal program by sampling and sharing ECC state assessments. Further
291 EXPORTS' modularity makes the experimental plan highly adaptable to resource de-
292 scoping or re-scoping, which is expected for a project of this scale. In many ways the
293 EXPORTS Science Plan provides a blueprint for future research aimed at improving
294 models of ecologically-driven, biogeochemical processes.

295 The modular nature of the EXPORTS experimental approach also implies that there are
296 oceanic regions that would be inappropriate for EXPORTS to expend resources to
297 sample. For example, there are several biogeochemical time series sites with decades
298 of observations (BATS, HOT, etc.) whose ECC state can be assembled from published
299 accounts and databases. Thus the data mining of previous experimental results is an
300 important part of the EXPORTS experimental approach. Further there are locations
301 where it will be difficult to answer the EXPORTS science questions. These include
302 places where intense persistent currents are found (western / eastern boundary
303 currents, equatorial oceans, etc.) that will require a detailed accounting of large-scale
304 horizontal fluxes. There may also be logistical advantages of the modular approach that
305 will help reduce costs. For example, the U.S. National Science Foundation is
306 implementing several Ocean Observatories Initiative (OOI) global nodes
307 (<http://oceanobservatories.org>). Collocating an EXPORTS field expedition at an OOI site
308 would provide useful background information and would reduce overall project costs.
309 There are of course many other partnering opportunities to consider as well.

310 Numerical modeling is central to the EXPORTS Science Plan as improving predictions
311 of the export and fate of ocean NPP comprise one of the science questions. Observing
312 System Simulation Experiments (OSSEs) will be used to help plan the multi-scale
313 sampling program while detailed process models will be developed and employed to
314 understand many factors that are beyond present observational capabilities. These
315 include, but are not limited to, understanding the importance of submesoscale physics
316 on the sequestration of suspended carbon and DOC, the formation and destruction of
317 sinking particle aggregates, and food web models to quantify the significance of species
318 and functional group interactions. Advanced radiative transfer models are also needed
319 to coupling observations of in-water optical properties with the novel abilities of the
320 PACE mission (e.g. polarimetry and hyperspectral wavelength resolution). This will
321 provide more information on the underlying particles and linking them to their signature
322 that can be remotely sensed from space. Last, coupled Earth system models are
323 needed to quantify the impacts of the EXPORTS discoveries on global scales and to
324 forecast future responses to changes in ocean ecosystems and resulting carbon fluxes.

325 Answers to the EXPORTS science questions will result from a synthesis of the
326 combined EXPORTS field program results, available ECC state assessments mined
327 from previous studies and numerical modeling experimentation. Key to the EXPORTS
328 experimental approach is the sampling of underlying mechanisms over a range of ECC
329 states and the concerted efforts to link these observations to remotely sensed
330 observables. Thus, ocean optics observations must be made simultaneously so the
331 EXPORTS data are useful for developing advanced carbon cycle satellite algorithms.

332 333 **Experimental Plan:**

334 The EXPORTS Science Plan includes notional experimental and implementation plans
335 to aid in elucidation of the overall experimental approach. The complexity of the
336 sampling program requires multi-ship field deployments – each of at least 30 days
337 duration. Field deployments are proposed for the Northeast Pacific (2 cruises to Station
338 P) and the North Atlantic (2 cruises near the site of the Joint Global Ocean Flux Study
339 [JGOFS] North Atlantic Bloom Experiment). The sites were chosen because of large

340 differences in their ECC states and the ability to leverage on-going and planned
341 activities (cf., U.S.'s OOI, Canada's Line P, EU's planned Horizon 2020). The four
342 deployments to two ocean basins and the time needed to analyze and model results,
343 requires EXPORTS to be a 5-year program.

344 It is proposed that each field deployment will be conducted in a Lagrangian frame
345 following an instrumented surface float, while spatial distributions of oceanic properties
346 surrounding the float will be resolved using ships, towed instruments, gliders, profiling
347 and mixed layer floats and satellites. This requires two ships; a "Lagrangian" ship that
348 samples the upper 500 m following the instrumented mixed layer float and a "Spatial"
349 ship that makes surveys on scales up to 100 km. The major export pathways illustrated
350 in figure 4 as well as supporting physical and optical oceanographic measurements can
351 all be sampled from the two ships. In particular, carbon export and its vertical
352 attenuation with depth will be measured by a host of approaches including drifting
353 sediment trap arrays, biogeochemical and radionuclide budgeting, particle size and
354 sinking rate determinations, and profiling optical sediment trap floats. OSSEs and
355 monitoring of available satellite observations will be used to guide experimental plans.

356 EXPORTS must sample the appropriate ecological-oceanographic spatial and temporal
357 scales of variability. The "Spatial" ship will be complemented by an array of autonomous
358 gliders and profiling floats providing resolution of properties and processes from local
359 (km's) to regional (100's km's) spatial scales and on synoptic (days) to seasonal
360 (months) time scales. Gliders will be deployed to map out temporally evolving fields of
361 bio-optical and biogeochemical quantities. Profiling floats will provide a long-term (>1
362 year) view enabling annual export estimates to be made for each study site. Satellite
363 ocean color observations as well as physical oceanographic observations will be used
364 to guide the sampling, interpretation, and modeling of the EXPORTS data set. Finally,
365 ocean optics observations will tie EXPORTS results to NASA's upcoming PACE satellite
366 ocean color measurements through the development of advanced satellite algorithms
367 and predictive numerical models.

368 For more details of the EXPORTS Science Plan experimental plan, please see the
369 complete science plan (EXPORTS Writing Team, 2015). At the time of this writing,
370 NASA has formed a Science Definition Team (SDT) to develop implementation plans
371 that will address the EXPORTS science questions ([http://cce.nasa.gov/cgi-](http://cce.nasa.gov/cgi-bin/cce/exports_sdt.pl)
372 [bin/cce/exports_sdt.pl](http://cce/exports_sdt.pl)). The EXPORTS SDT should complete its deliberations and
373 present their recommendations to NASA Headquarters by the end of 2016.

374

375 **Reflections and Considerations:**

376 The development of a predictive understanding of the export and fate of global ocean
377 primary production remains among the hardest problems in all of the Earth Sciences, as
378 it requires a synthesis of ocean ecological, biogeochemical, physical and optical
379 oceanographic processes over an extensive range of time and space scales. Answering
380 EXPORTS' science questions will accelerate our knowledge of the role of the oceanic
381 food web in the global carbon cycle and provide new models for understanding
382 contemporary and future states of the ocean's carbon cycle and its influences on
383 climate. These results will have tangible societal relevance, leading to advancements in

384 our understanding of our changing planet and reductions in our uncertainties for
385 monitoring its present conditions and for predicting its future state.

386 The focus on improved predictive understanding differentiates EXPORTS from previous
387 large, multi-national/agency, ocean carbon science programs like JGOFS (Fasham et
388 al., 2001). Although these programs provided much understanding of the regulating
389 processes controlling the biological pump, JGOFS' focus was not on the creation of
390 predictions of carbon cycling processes for present and future climate states. JGOFS
391 also concentrated on surface ocean processes and considerably less attention was
392 placed on the fates of NPP and it's processing in the TZ. EXPORTS will focus on
393 resolving the underlying ecological and biogeochemical mechanisms so that useful
394 predicative tools can be developed and then applied on global scales to monitor
395 contemporary conditions using satellite remote sensing tools and to forecast future
396 climates and ocean ecological states using Earth system models. Last, there are now
397 many new tools that we can take advantage of from autonomous floats and gliders, to
398 new genomic tools, for understanding plankton community structure and function and
399 taking best advantage of advanced satellite observations with NASA's upcoming PACE
400 mission.

401 EXPORTS will provide answers for many of NASA's science questions about how the
402 Earth system is changing while creating the next generation of ocean carbon cycle and
403 ecological satellite algorithms to be used for NASA's upcoming PACE mission.
404 EXPORTS will improve our understanding of global ocean carbon dynamics and reduce
405 uncertainties in our ability to monitor and predict carbon export and its sequestration
406 within the ocean's interior, thus enabling PACE to address its global carbon cycle
407 science objectives. The EXPORTS field campaign will further train and inspire the next
408 generation of interdisciplinary ocean scientists working together on one of the hardest
409 and most important problems in the Earth sciences.

410

411

412 **Acknowledgements:** The development of the EXPORTS Science Plan was supported
413 by NASA Ocean Biology and Biogeochemistry program (award NNX13AC35G). We
414 would like to gratefully acknowledge the support and guidance of Paula Bontempi and
415 Kathy Tedesco, editorial assistance from Kelsey Bisson, the comments and
416 recommendations made by the NASA Ocean Biology and Biogeochemistry Program's
417 Working Group on Field Campaigns as well as our many colleagues who provided
418 comments on previous drafts and public presentations of the EXPORTS Science Plan.

419

420

421

422 **References:**

- 423 Bianchi, D., C. Stock, E.D. Galbraith, and J. L. Sarmiento (2013), Diel vertical migration
424 Ecological controls and impacts on the biological pump in a one-dimensional ocean
425 model. *Global Biogeochemical Cycles*, **27**, 478–491.
- 426 Boyd, P. W., and C. L. Stevens (2002), Modelling particle transformations and the
427 downward organic carbon flux in the NE Atlantic Ocean, *Prog. Oceanogr.*, **52**, 1–29
- 428 Boyd, P., and P. J. Harrison (1999), Phytoplankton dynamics in the NE subarctic
429 Pacific. *Deep- Sea Research Part II*, **46**, 2405-2432.
- 430 Bracher, A., M. Vountas, T. Dinter, J. P. Burrows, R. Röttgers, and I. Peeken (2009),
431 Quantitative observation of cyanobacteria and diatoms from space using PhytoDOAS
432 on SCIAMACHY data, *Biogeosciences*, **6**, 751–764.
- 433 Buesseler, K.O., and P.W. Boyd (2009), Shedding light on processes that control
434 particle export and flux attenuation in the twilight zone of the open ocean, *Limnol.*
435 *Oceanogr.*, **54**, 1210–1232.
- 436 Burd, A.B., et al. (2010), Assessing the apparent imbalance between geochemical and
437 biochemical indicators of meso- and bathypelagic biological activity: What the @\$#! is
438 wrong with present calculations of carbon budgets?, *Deep Sea Research, Part II*, **57**,
439 1429–1592.
- 440 Carlson, C. A., D. A. Hansell, N. B. Nelson, D. A. Siegel, W. M. Smethie, S. Khatiwala,
441 M. M. Meyers and E. Halewood (2010), Dissolved organic carbon export and
442 subsequent remineralization in the mesopelagic and bathypelagic realms of the North
443 Atlantic basin. *Deep Sea Research II* **57**: 1433-1445.
- 444 Cheung, W. W., V.W. Lam, J.L. Sarmiento, K. Kearney, R.E.G. Watson, D. Zeller, and
445 D. Pauly (2010), Large-scale redistribution of maximum fisheries catch potential in the
446 global ocean under climate change. *Global Change Biology*, **16**(1), 24-35.
- 447 Doney, S. C., V.J. Fabry, R.A. Feely, and J.A. Kleypas (2009), Ocean acidification the
448 other CO₂ problem. *Annual Review of Marine Science*, **1**, 169-192.
- 449 Doney, S.C., M. Ruckelshaus, J.E. Duffy, J.P. Barry, F. Chan, C.A. English, H.M.
450 Galindo, J.M. Grebmeier, A.B. Hollowed, N. Knowlton, J. Polovina, N.N. Rabalais, W.J.
451 Sydeman, and L.D. Talley (2012), Climate change impacts on marine ecosystems.
452 *Annual Review of Marine Science*, **4**, 11-37.
- 453 Emerson, S., P. Quay, C. Stump, D. Wilbur and M. Knox (1991), O₂, Ar, N₂, and ²²²Rn in
454 surface waters of the subarctic ocean: Net biological O₂ production, *Global*
455 *Biogeochem. Cycles*, **5**, 49-69.
- 456 Estapa, M. L., D.A. Siegel, K.O. Buesseler, R.H.R. Stanley, M.W. Lomas, and N.B.
457 Nelson, (2015). Decoupling of net community and export production on submesoscales
458 in the Sargasso Sea. *Global Biogeochem. Cycles*, **29**, 1266-1282,
459 doi:10.1002/2014GB004913.
- 460 EXPORTS Writing Team, (2015) EXport Processes in the Ocean from RemoTe Sensing
461 (EXPORTS): A Science Plan for a NASA Field Campaign. 126 pp., Available at
462 http://cce.nasa.gov/cce/pdfs/EXPORTS_Science_Plan_May18_2015_final.pdf.

463 Fasham, M.J.R., et al., 2001: A new vision of ocean biogeochemistry after a decade of
464 the Joint Global Ocean Flux Study (JGOFS), *AMBIO, Sp. Iss.* **10**, 4-31.

465 Giering, S., R. Sanders, R.S. Lampitt, T.R. Anderson, C. Tamburini, M. Boutrif, M.V.
466 Zubkov, C.M. Marsay, S.A. Henson, K. Saw, K. Cook, and D.J. Mayor (2014),
467 Reconciliation of the carbon budget in the ocean's twilight zone. *Nature*, **507**, 480-483.
468 doi:10.1038/nature13123.

469 Guidi, L., L. Legendre, G. Reygondeau, J. Uitz, L. Stemmann, and S. A. Henson (2015),
470 A new look at ocean carbon remineralization for estimating deepwater sequestration,
471 *Global Biogeochem. Cycles*, **29**, 1044–1059, doi:10.1002/2014GB005063.

472 Jonasdottir, S.H., A.W. Visser, K. Richardson and M.R. Heath (2015), Seasonal
473 copepod lipid pump promotes carbon sequestration in the deep North Atlantic. *Proc.*
474 *Nat. Acad. Sci.*, **112**, 12122–12126, doi: 10.1073/pnas.1512110112

475 Hansell, D.A., C.A. Carlson, D.J. Repeta, and R. Schlitzer (2009), Dissolved organic
476 matter in the ocean. New insights stimulated by a controversy. *Oceanography*, **22**, 52-
477 61.

478 Henson, S.A., R. Sanders, E. Madsen, P. J. Morris, F. Le Moigne, and G.D. Quartly
479 (2011), A reduced estimate of the strength of the ocean's biological carbon pump,
480 *Geophysical Research Letters*, **38**, L04606, doi:10.1029/2011GL046735.

481 Keeling, R. F., A. Körtzinger, and N. Gruber (2010), Ocean deoxygenation in a warming
482 world. *Annual Review of Marine Science*, **2**, 199-229.

483 Lévy, M., L. Bopp, P. Karleskind, L. Resplandy, C. Ethe, and F. Pinsard (2013),
484 Physical pathways for carbon transfers between the surface mixed layer and the ocean
485 interior, *Global Biogeochem. Cycles*, **27**, 1001-1012.

486 Marsay, C.M., R.J. Sanders, S.A. Henson, K. Pabortsava, E.P. Achterberg, and R.S.
487 Lampitt, (2015). Attenuation of sinking particulate organic carbon flux through the
488 mesopelagic ocean. *Proc. Nat. Acad. Sci.*, **112**, 1089-1094.

489 Michaels, A.F., and M. W. Silver (1988), Primary production, sinking fluxes and the
490 microbial food web, *Deep-Sea Research*, **35**, 473–490.

491 NASA (2014) NASA 2014 Science Plan. 126 pp.
492 [http://science.nasa.gov/media/medialibrary/2014/05/02/2014_Science_Plan-](http://science.nasa.gov/media/medialibrary/2014/05/02/2014_Science_Plan-0501_tagged.pdf)
493 [0501_tagged.pdf](http://science.nasa.gov/media/medialibrary/2014/05/02/2014_Science_Plan-0501_tagged.pdf)

494 Omand, M.M., E.A. D'Asaro, C.M. Lee, M.J. Perry, N. Briggs, I. Cetinić, and A.
495 Mahadevan, (2015), Eddy-driven subduction exports particulate organic carbon from the
496 spring bloom. *Science*, **348**, 222-225.

497 Puigcorbé, V., C. R. Benitez-Nelson, P. Masqué, E. Verdeny, A. E. White, B. N. Popp,
498 F. G. Prahl, and P. J. Lam (2015), Small phytoplankton drive high summertime carbon
499 and nutrient export in the Gulf of California and Eastern Tropical North Pacific, *Global*
500 *Biogeochem. Cycles*, **29**, 1309–1332, doi:10.1002/2015GB005134.

501 Riser, S.C. and K.S. Johnson (2008), Net production of oxygen in the subtropical ocean,
502 *Nature*, **451**, 323-325.

503 Siegel, D.A., K.O. Buesseler, S.C. Doney, S.F. Sailley, M.J. Behrenfeld, and P.W. Boyd,
504 (2014) Global assessment of ocean carbon export by combining satellite observations
505 and food-web models. *Global Biogeochem. Cycles*, **28**, doi:10.1002/2013GB004743.

506 Sosik, H.M. and R.J. Olson (2007), Automated taxonomic classification of phytoplankton
507 sampled with imaging-in-flow cytometry. *Limnology and Oceanography: Methods*, **5**,
508 204-216.

509 Steinberg, D. K., C.A. Carlson, N.R. Bates, S.A. Goldthwait, L.P. Madin, and A.F.
510 Michaels (2000), Zooplankton vertical migration and the active transport of dissolved
511 organic and inorganic carbon in the Sargasso Sea, *Deep-Sea Research Part I*, **47**, 137–
512 158.

513 Steinberg, D.K., Van Mooy, B.A., Buesseler, K.O., Boyd, P.W., Kobari, T., & Karl, D.M.
514 (2008). Bacterial vs. zooplankton control of sinking particle flux in the ocean's twilight
515 zone. *Limnology and Oceanography*, **53**, 1327-1338.

516 Stemmann, L., and E. Boss (2012), Plankton and particle size and packaging: from
517 determining optical properties to driving the biological pump. *Annual Review in Marine*
518 *Science*, **4**, 263-290.

519 Stemmann, L., G.A. Jackson, D. Ianson (2004), A vertical model of particle size
520 distributions and fluxes in the midwater column that includes biological and physical
521 processes — Part I model formulation. *Deep-Sea Research Part I*, **51**, 865–884.

522 Stukel, M. R., M. Kahru, C.R. Benitez-Nelson, M. Décima, R. Goericke, M.R. Landry,
523 and M.D. Ohman, (2015), Using Lagrangian-based process studies to test satellite
524 algorithms of vertical carbon flux in the eastern North Pacific Ocean. *J. Geophys. Res.*
525 *Oceans*. Accepted Author Manuscript. doi:10.1002/2015JC011264
526
527
528
529

530 **Figure Captions:**

531 Figure 1: The EXPORTS conceptual diagram illustrates the links among the ocean's
532 biological pump and pelagic food web and our ability to sample these components from
533 ships, satellites and autonomous vehicles. Light blue waters are the euphotic zone (EZ),
534 while the darker blue waters represent the twilight zone (TZ). Figure is adapted from
535 Steinberg (in prep.) and the U.S. Joint Global Ocean Flux Study
536 (http://usjgofs.whoi.edu/images/biological_pump.tif).

537 Figure 2: Graphical depiction of the export and fate of upper ocean net primary
538 production (NPP) energy. For each site and time, the ratio of NPP to POC flux at the
539 depth of the euphotic zone (Y-axis) is compared to POC flux transmission through the
540 first 100m below EZ (X-axis). The area of the circle is proportional to NPP (roughly
541 $1000 \text{ mg C m}^{-2} \text{ d}^{-1}$ at EQPAC) and the contour lines (1-40%) are the fraction of NPP that
542 reaches 100 m below the euphotic zone. Figure is adapted from Buesseler and Boyd
543 [2009] and focuses on POC flux at the EZ and first 100m below, as this is where sinking
544 POC flux differences are largest.

545 Figure 3: Topology of a satellite-data driven food-web export flux model illustrating how
546 NPP energy is routed to export either through sinking of large phytoplankton or as fecal
547 material. b) Determination of annual export flux from the euphotic zone and c) export
548 efficiency (=export/NPP). Figure is adapted from Siegel et al. [2014].

549 Figure 4: The EXPORTS wiring diagram illustrating the C flows from the euphotic zone
550 (EZ) into the twilight zone (TZ) in the biological pump. The flow of C through the
551 biological pump is comprised of A) sinking particles, B) the advective mixing of DOC
552 and suspended C stocks and C) active transport via migrating zooplankton.

553 Figure 5: Conceptual wiring diagrams for (a) the spring bloom in the North Atlantic and
554 (b) summer conditions in the North Pacific. These figures follow the organization of the
555 EXPORTS wiring diagram presented in figure 4.

556

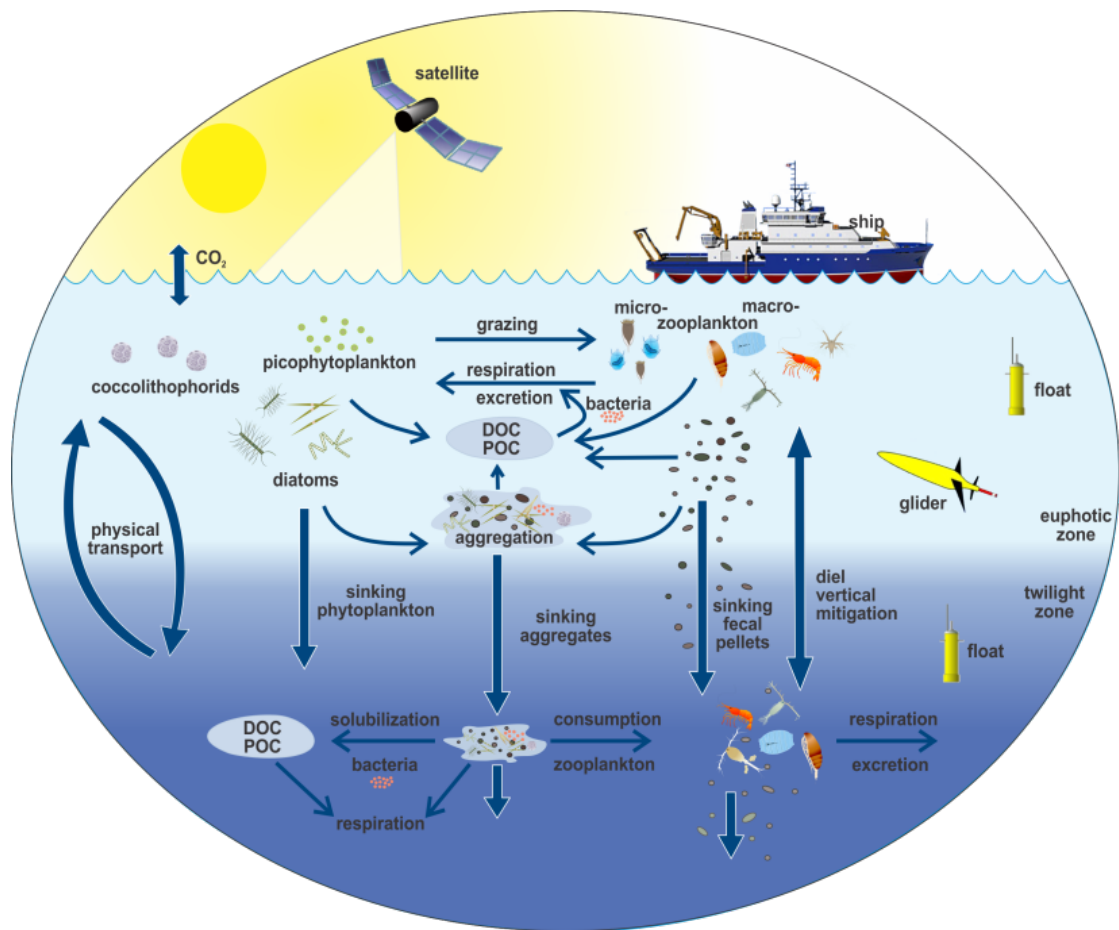


Figure 1: The EXPORTS conceptual diagram illustrates the links among the ocean’s biological pump and pelagic food web and our ability to sample these components from ships, satellites and autonomous vehicles. Light blue waters are the euphotic zone (EZ), while the darker blue waters represent the twilight zone (TZ). Figure is adapted from Steinberg (in prep.) and the U.S. Joint Global Ocean Flux Study (http://usjgofs.whoi.edu/images/biological_pump.tif).

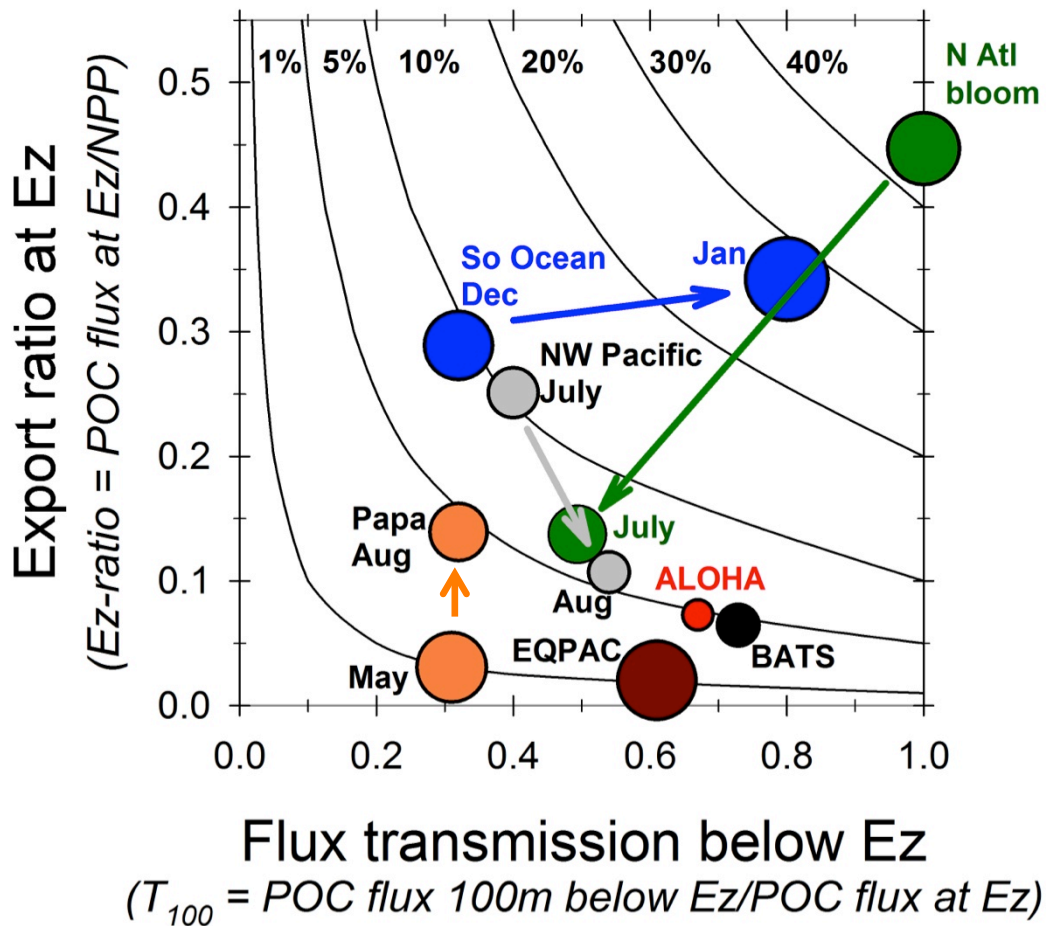


Figure 2: Graphical depiction of the export and fate of upper ocean net primary production (NPP) energy. For each site and time, the ratio of NPP to POC flux at the depth of the euphotic zone (Y-axis) is compared to POC flux transmission through the first 100m below EZ (X-axis). The area of the circle is proportional to NPP (roughly 1000 mg C m⁻² d⁻¹ at EQPAC) and the contour lines (1-40%) are the fraction of NPP that reaches 100 m below the euphotic zone. Figure is adapted from Buesseler and Boyd [2009] and focuses on POC flux at the EZ and first 100m below, as this is where sinking POC flux differences are largest.

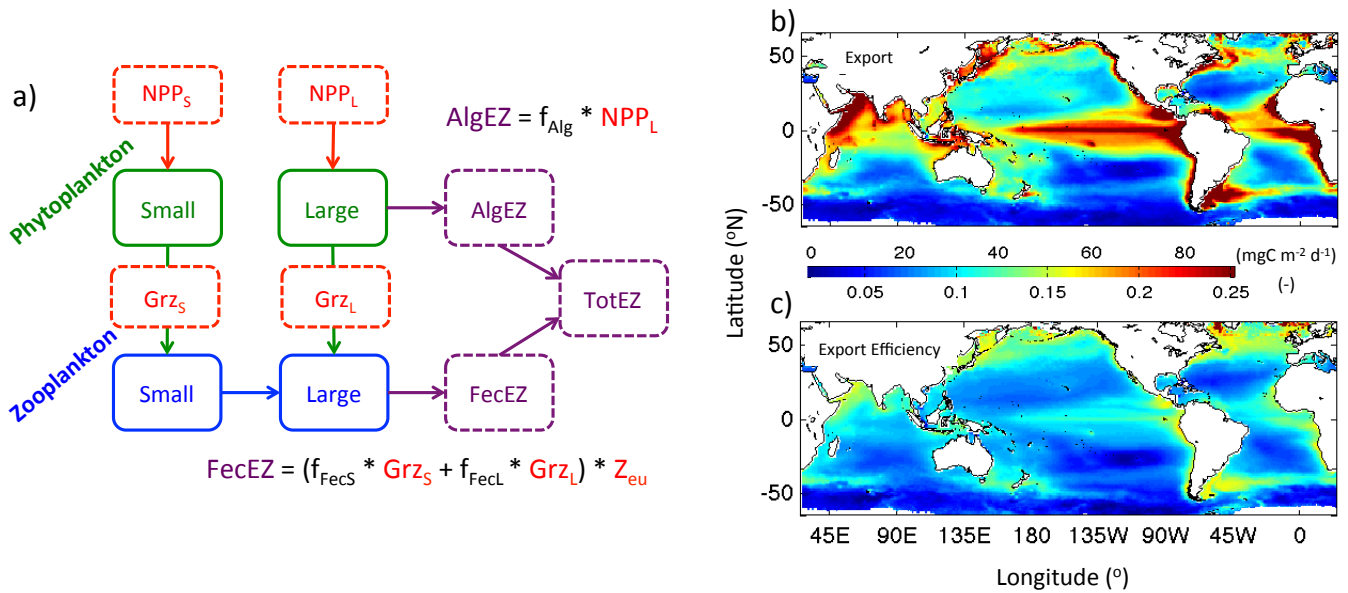


Figure 3: a) Topology of a satellite-data driven food web-export flux model illustrating how NPP energy is routed to export either through sinking of large phytoplankton or as fecal material. b) Determination of annual export flux from the euphotic zone and c) export efficiency (=export/NPP). Figure is adapted from Siegel et al. [2014].

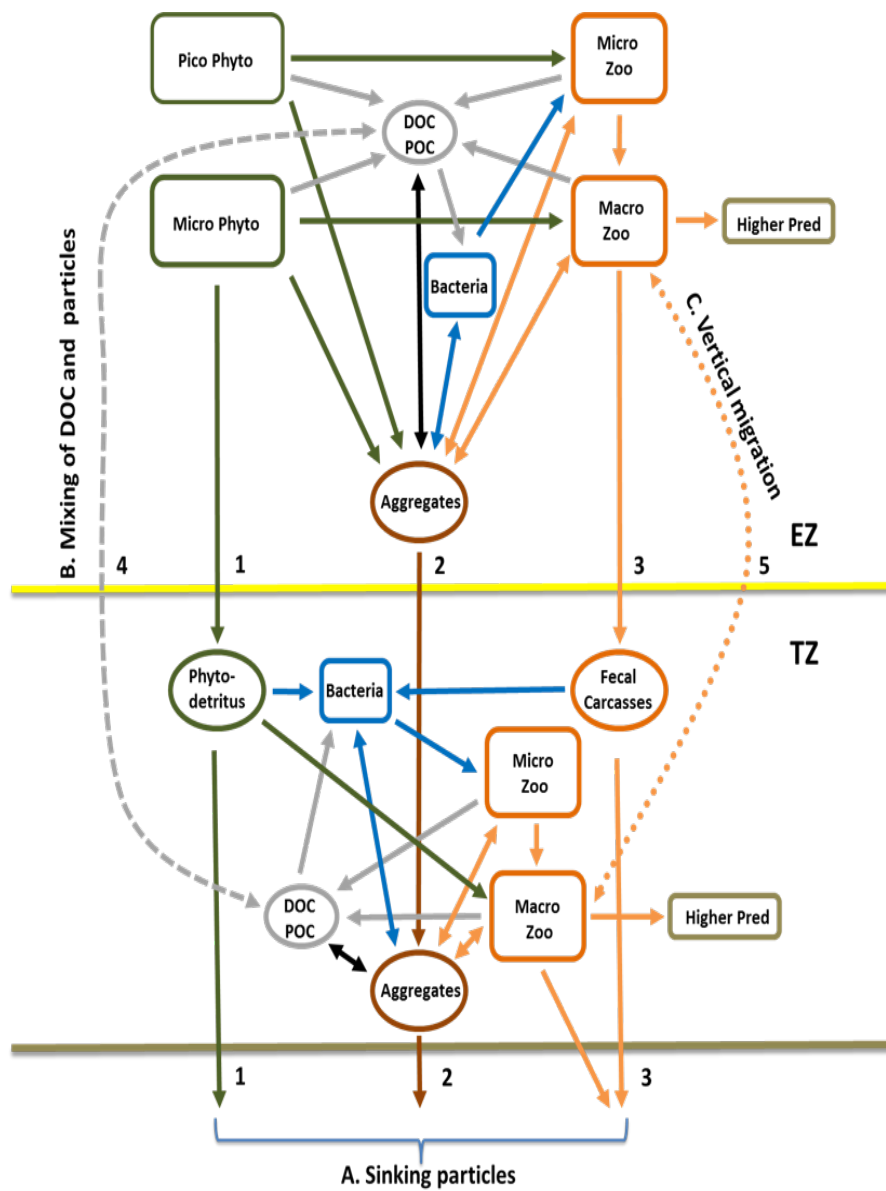


Figure 4: The EXPORTS wiring diagram illustrating the C flows from the euphotic zone (EZ) into the twilight zone (TZ) in the biological pump. The flow of C through the biological pump is comprised of A) sinking particles, B) the advective mixing of DOC and suspended C stocks and C) active transport via migrating zooplankton.

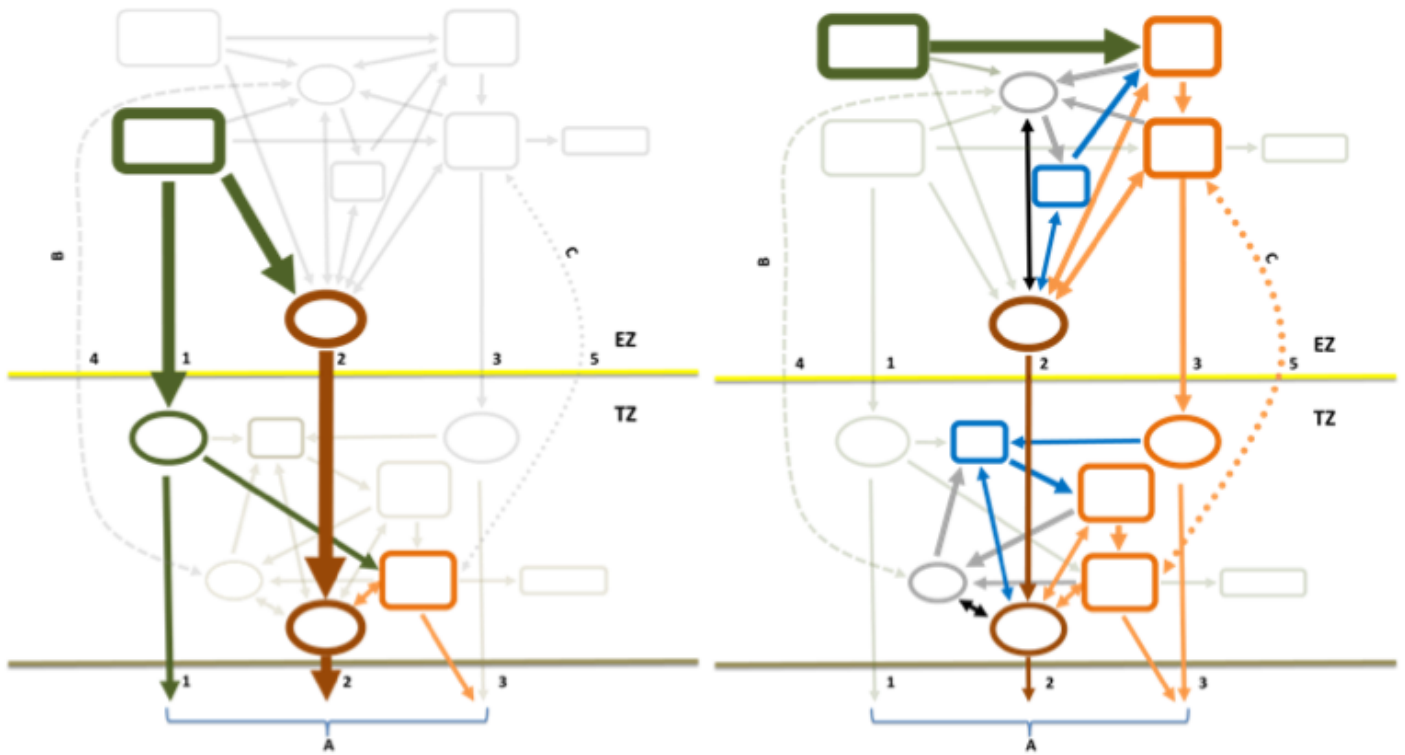


Figure 5: Conceptual wiring diagrams for (a) the spring bloom in the North Atlantic and (b) summer conditions in the North Subarctic Pacific. These figures follow the organization of the EXPORTS wiring diagram presented in figure 4.